

# Designing High Performance Devices in Silicon Using Subwavelength Structures

Presented by:



# The OSA Optoelectronics (PO) Technical Group Welcomes You!



DESIGNING HIGH PERFORMANCE  
DEVICES IN SILICON USING  
SUBWAVELENGTH STRUCTURES

27 September 2018 • 10:00 EDT

**OSA** Optoelectronics  
Technical Group

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Technical Group

# Technical Group Leadership 2018



Chair  
**Winnie Ye**  
Carleton University, Canada



Vice Chair  
**Daniele Melati**  
National Research Council Canada, Canada

# Technical Group at a Glance

- Focus

- This group's interests are in the field of semiconductor lasers, amplifiers, LEDs and super luminescent diodes.
- Over 4,500 members within OSA

- Mission

- To benefit YOU
- Webinars, e-Presence, publications, technical events, business events, outreach
- Interested in presenting your research? Have ideas for TG events? Contact [winnie.ye@carleton.ca](mailto:winnie.ye@carleton.ca)

- Find us here

- Website: [www.osa.org/OptoelectronicsTG](http://www.osa.org/OptoelectronicsTG)
- LinkedIn: [www.linkedin.com/groups/8297718/](http://www.linkedin.com/groups/8297718/)

# Today's Webinar



## ***Designing High Performance Devices in Silicon Using Subwavelength Structures***

**Prof. Robert Halir**

University of Malaga (Spain)

Andalusian Institute for Nano-medicine and Biotechnology  
(Bionand)

*You can find more information about subwavelength integrated photonics on the **review** co-authored by Dr. Halir and recently published by **Nature**: P. Cheben, et al. "[Subwavelength integrated photonics.](#)" *Nature* 560.7720 (2018)*



# Designing high performance devices in silicon using subwavelength structures



Robert Halir, Universidad de Málaga (Spain), [www.photonics-rf.uma.es](http://www.photonics-rf.uma.es)



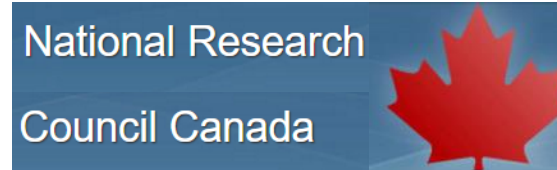




Íñigo Molina-Fernández  
Gonzalo Wangüemert-Pérez  
Alejandro Ortega-Moñux  
Alejandro Sánchez-Postigo  
Jose Manuel Luque-González  
Daniel Pereira-Martín  
Abdel Hadij El Houati  
Darío Sarmiento-Merenguel



Aitor Villafranca  
Alaine Herrero  
David González



Pavel Cheben  
Jens Schmid  
Jean Lapointe  
Dan Xia Xu  
Siegfried Janz



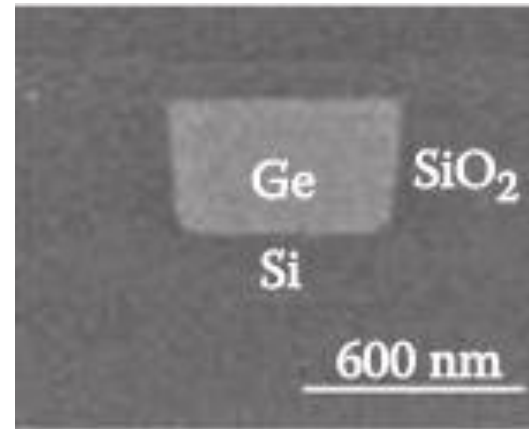
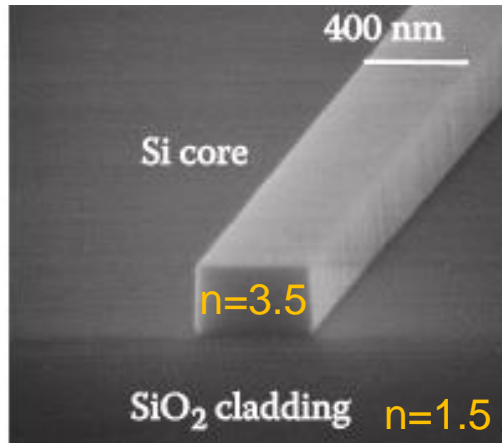
Laurent Vivien  
Carlos Alonso-Ramos  
Daniel Benedikovic



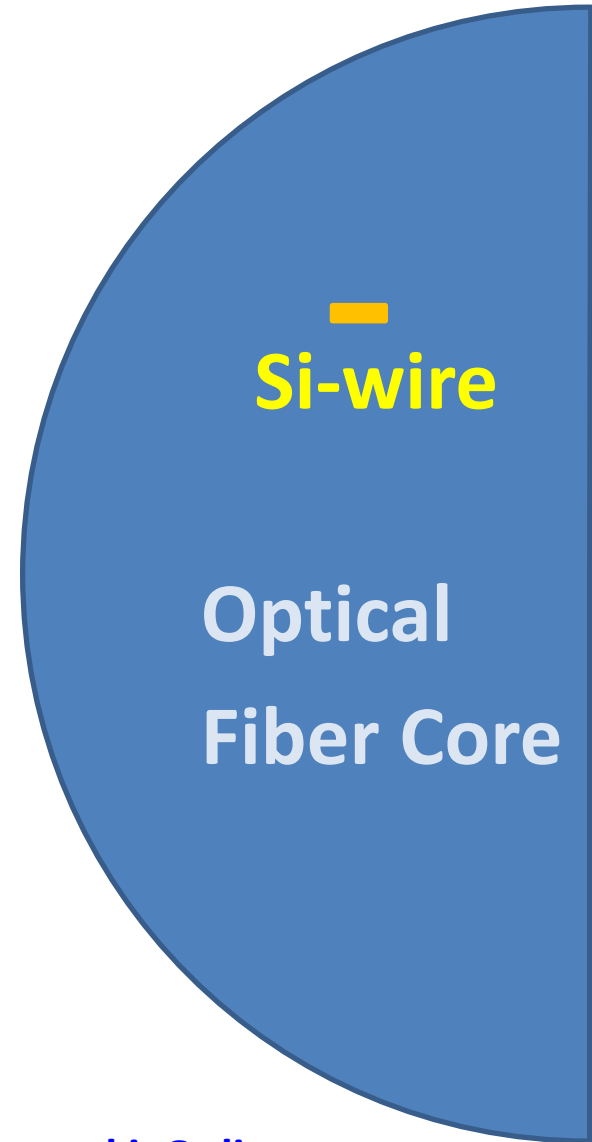
Goran Mashanovich  
Jordi Soler Penadés  
Milan Nedjelkovich



Jiri Ctyroky



- Silicon microelectronics (the “age of silicon”)
- High contrast ( $\Delta n=2$ ), small features ( $\approx 100\text{nm}$ )
- High speed photodetection and modulation
- Hybrid integration of III-V lasers
- Commercial use: Luxtera, Acacia, ...
- Only a few CMOS compatible materials available.

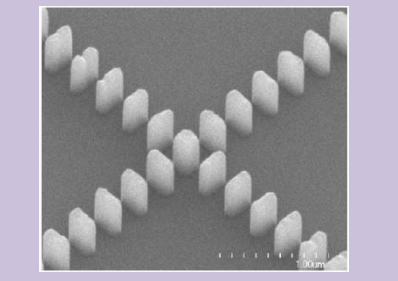
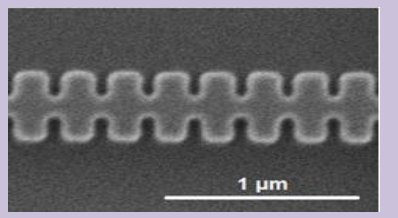
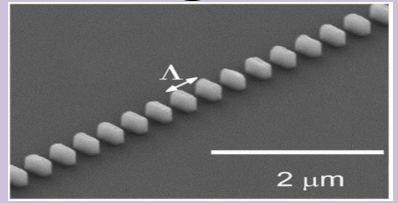


[“Handbook of Silicon Photonics”, Laurent Vivien, 2013](#) + [“Silicon Photonics Design” Lukas Chrostowski, Online course](#)  
[“Silicon photonics circuit design” Wim Bogaerts, Laser and Photonics Reviews 12, 2018](#)

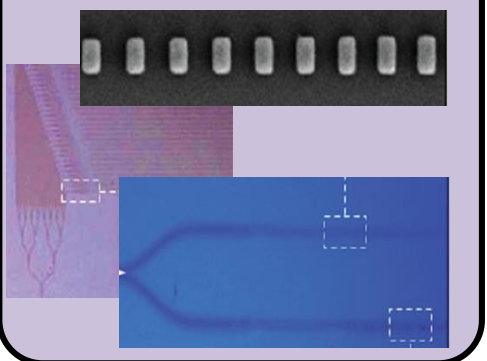




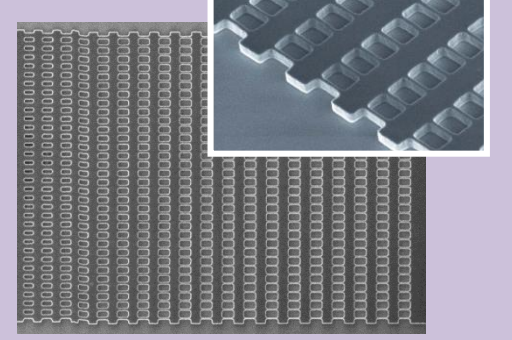
## Waveguides



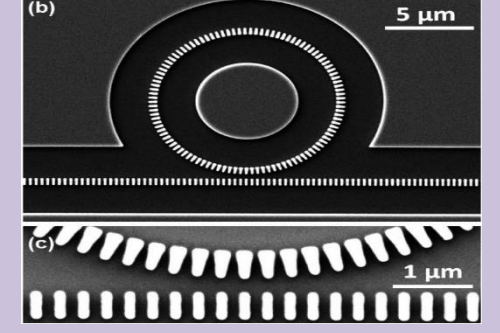
## Spectrometers



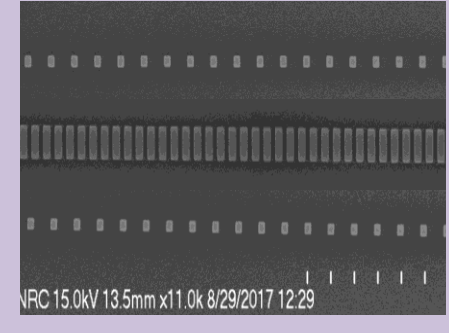
## Grating Couplers



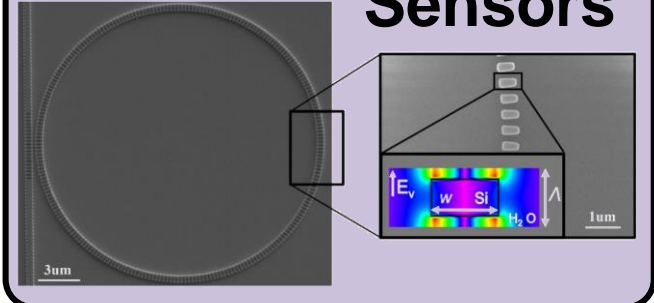
## Ring Resonators



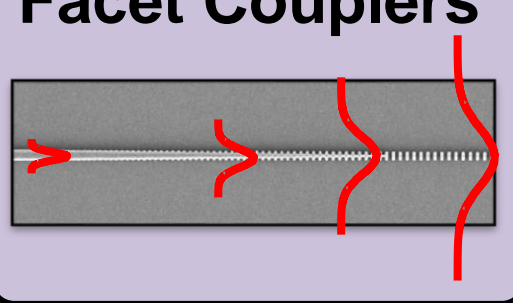
## Spectral Filters



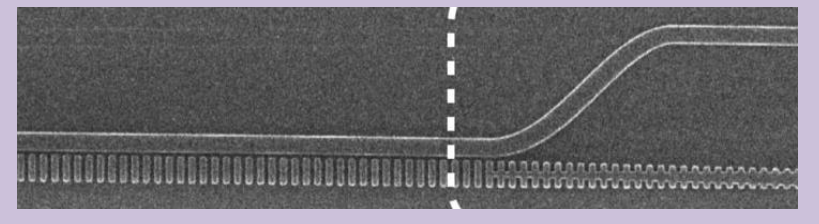
## Sensors



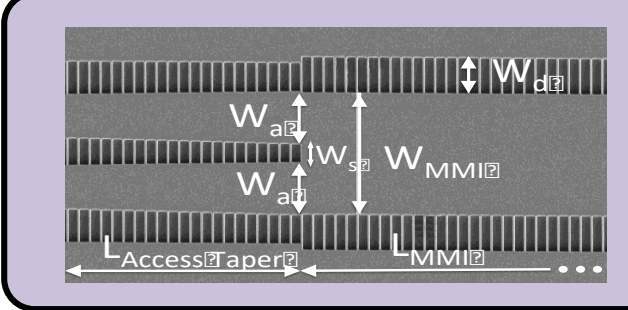
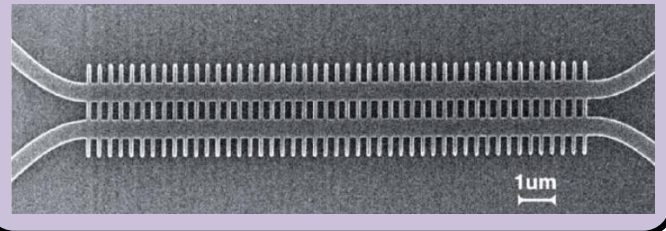
## Facet Couplers



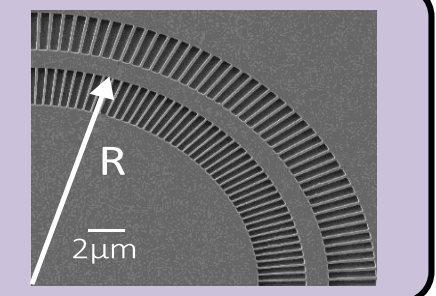
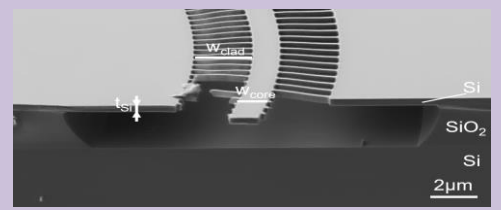
## Polarization Management



## Broadband couplers



## Mid-Infrared



Review paper: [R. Halir et al., Laser and Photonics Reviews 9, 2015](#)



nature > review articles > article



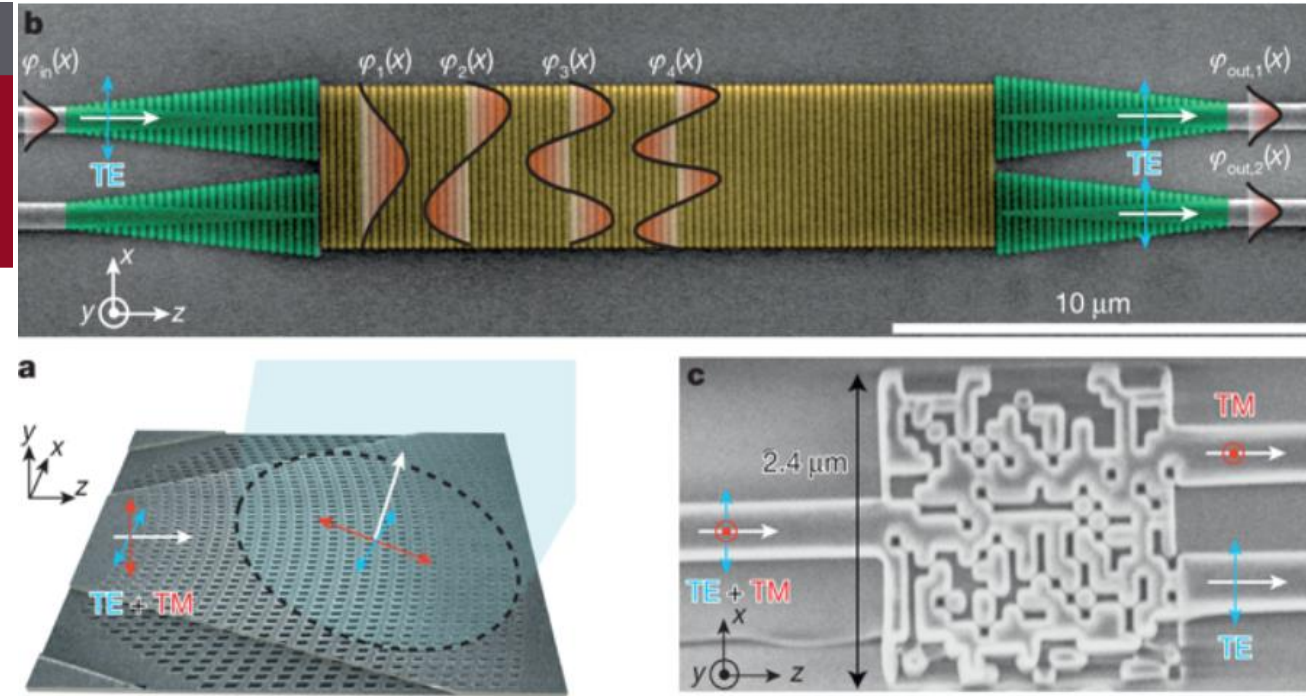
**nature**  
International journal of science

Review Article | Published: 29 August 2018

## Subwavelength integrated photonics

Pavel Cheben✉, Robert Halir, Jens H. Schmid, Harry A. Atwater & David R. Smith

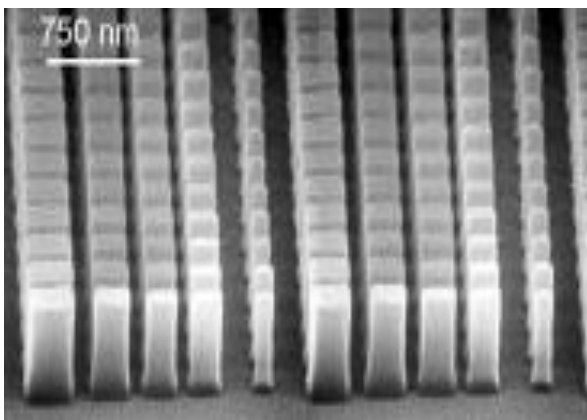
Nature **560**, 565–572 (2018) | [Download Citation](#)



[P. Cheben et al., Nature 560, 2018](#)



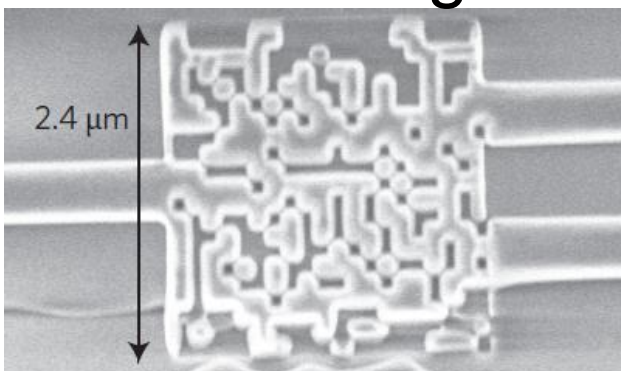
## Metasurfaces



[P. Lalanne, J. Opt. Soc. Am. A 16, 1999](#)

[M. Khorasaninejad, Nano Lett. 16, 2016](#)

## Inverse design



[A. Y. Piggot, Nature Photonics 9, 2015](#)

[B. Shen, Nature Photonics 9, 2015](#)

nature > nature photonics > review articles > article



Review Article | Published: 28 April 2017

## Metamaterial-inspired silicon nanophotonics

Isabelle Staude & Jörg Schilling✉

*Nature Photonics* **11**, 274–284 (2017) | [Download Citation](#) ↓

[I. Staude, Nature Photonics 11, 2017](#)



## Refractive Index

Fundamentals

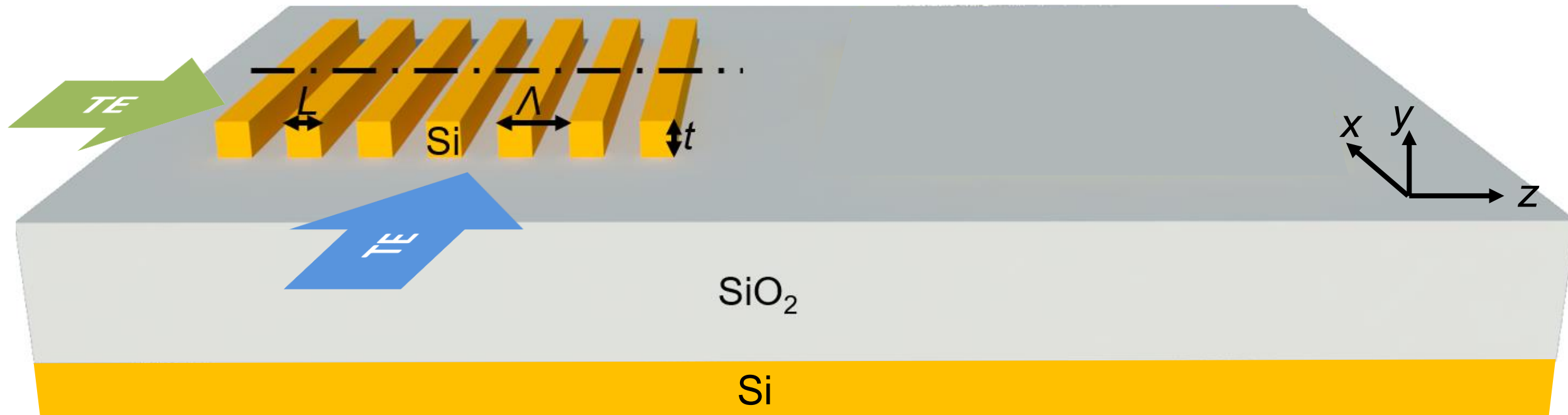
Applications & Devices

## Dispersion & Anisotropy

Fundamentals

Applications & Devices





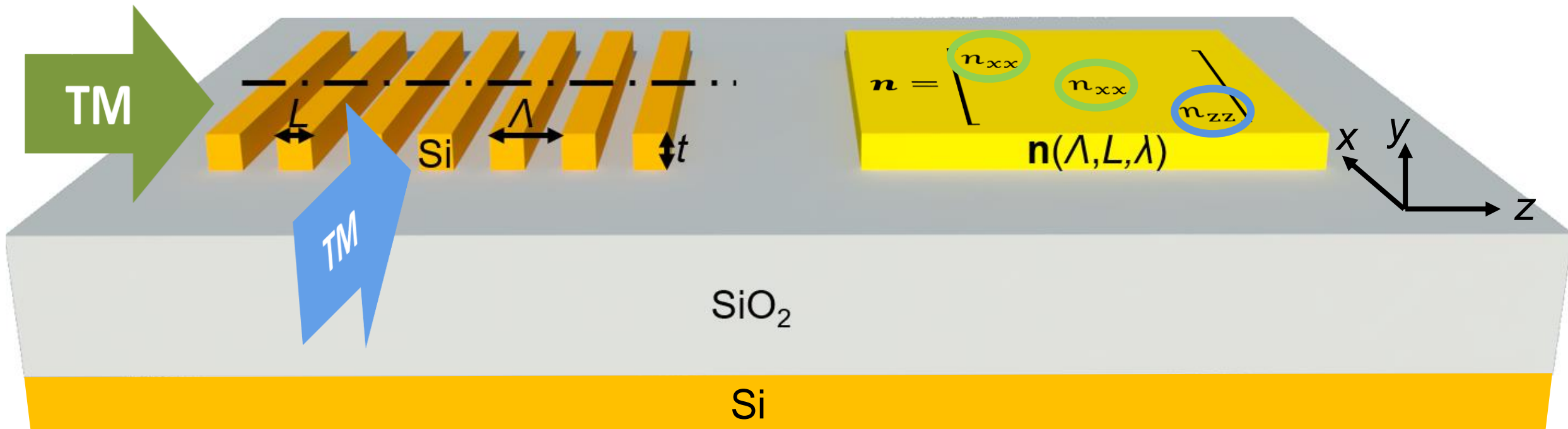
Small pitch [ $\Lambda < \lambda / (2n_{\text{eff}})$ ] avoids diffraction. Synthesizes an artificial material.

$$n_{xx}^2 \approx \frac{L}{\Lambda} n_{Si}^2 + \left(1 - \frac{L}{\Lambda}\right) n_{SiO_2}^2$$

$$n_{zz}^{-2} \approx \frac{L}{\Lambda} n_{Si}^{-2} + \left(1 - \frac{L}{\Lambda}\right) n_{SiO_2}^{-2}$$

[S. M. Rytov, Sov. Phys. JETP 2, 1956](#)

Rigorous formulas for  $n_{xx}$  and  $n_{zz}$ : [Luque-González, Optics Letters 43, 2018](#)



Small pitch [ $\Lambda < \lambda / (2n_{\text{eff}})$ ] avoids diffraction. Synthesizes an artificial material.

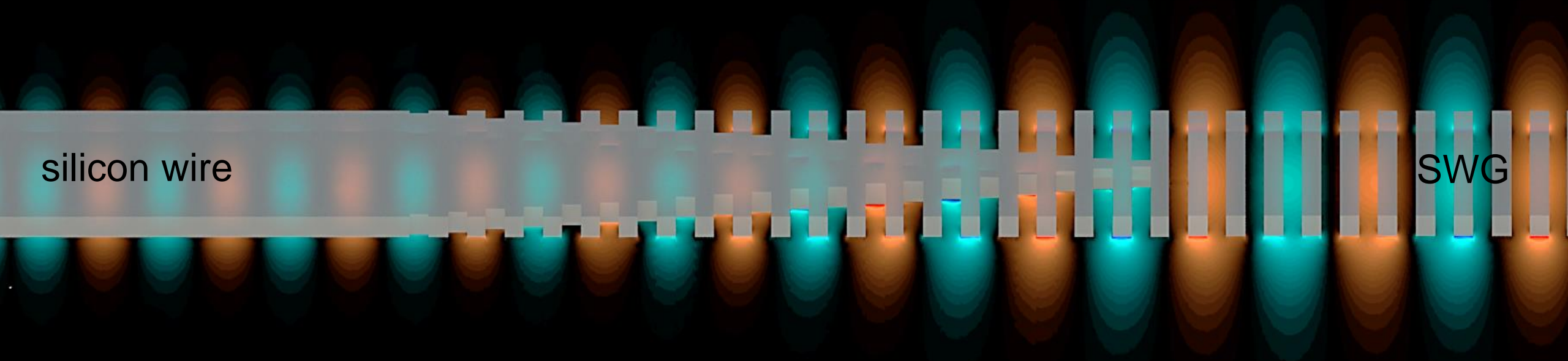
$$n_{xx}^2 \approx \frac{L}{\Lambda} n_{Si}^2 + \left(1 - \frac{L}{\Lambda}\right) n_{SiO_2}^2$$

$$n_{zz}^{-2} \approx \frac{L}{\Lambda} n_{Si}^{-2} + \left(1 - \frac{L}{\Lambda}\right) n_{SiO_2}^{-2}$$

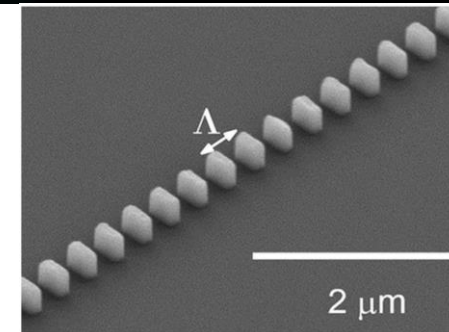
[S. M. Rytov, Sov. Phys. JETP 2, 1956](#)

Engineer the refractive index through duty-cycle.

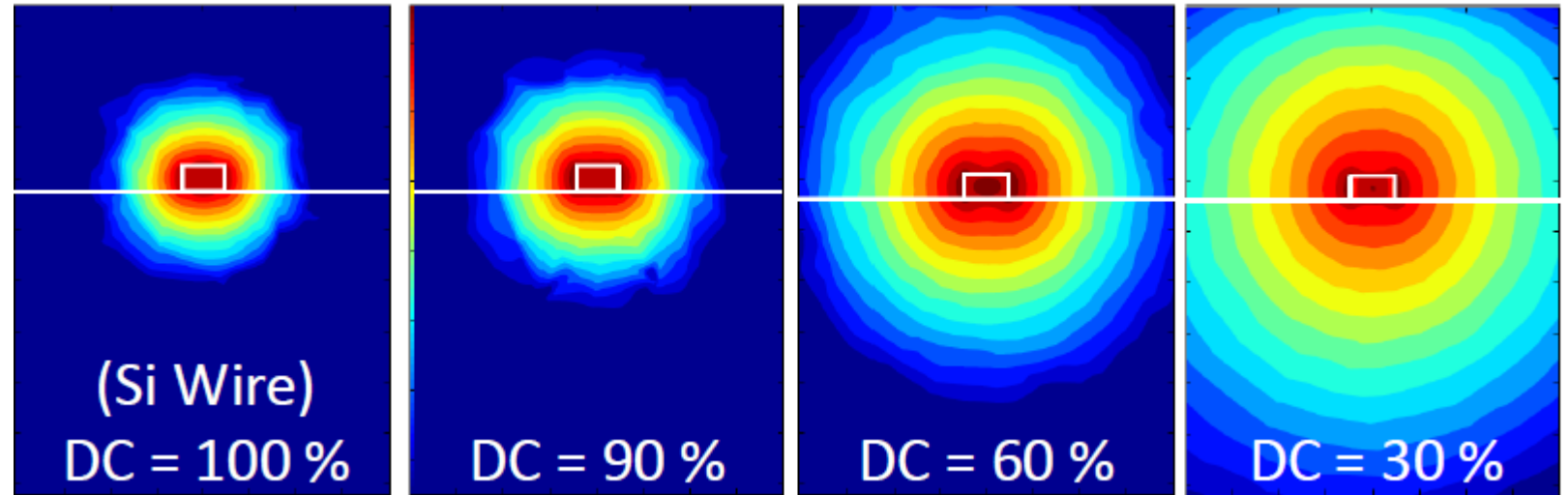
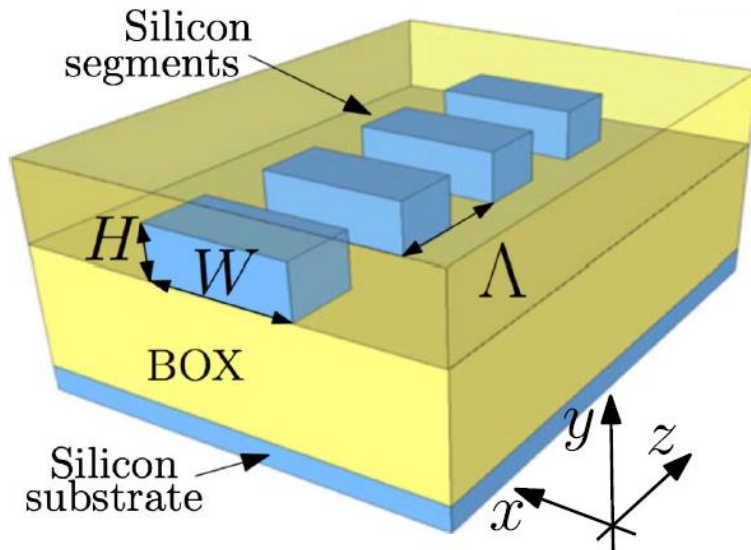




- SWG waveguide has lower effective index than the silicon wire.
- SWG waveguide supports loss-less Bloch-Floquet mode.
- Loss-less integration with silicon wire waveguides.

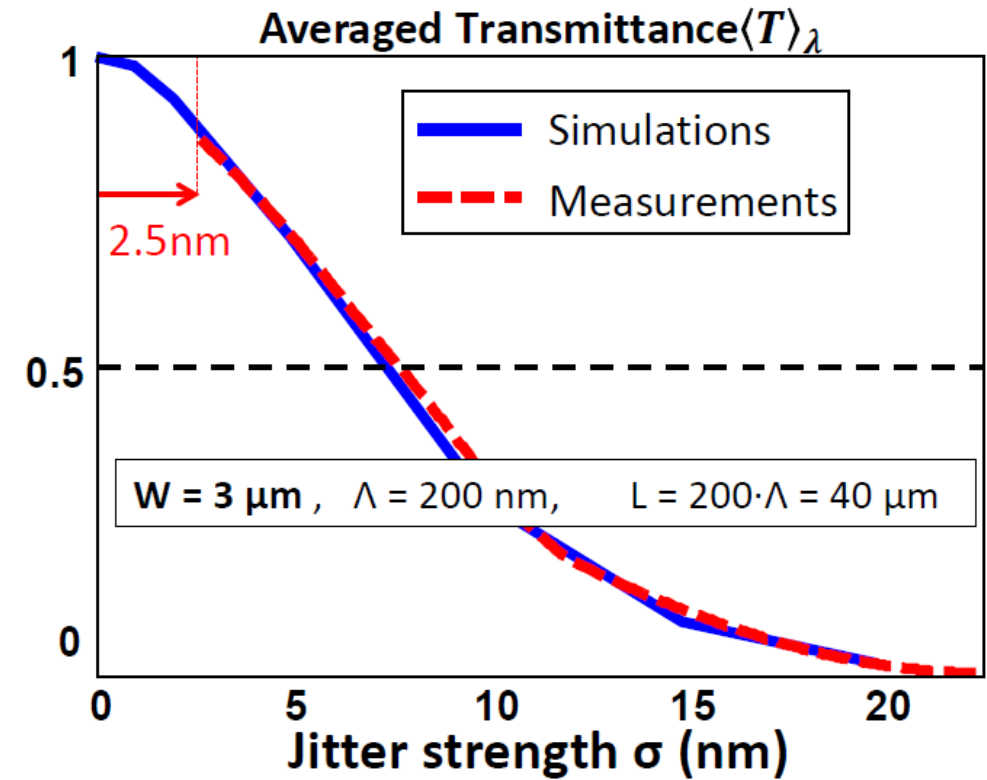
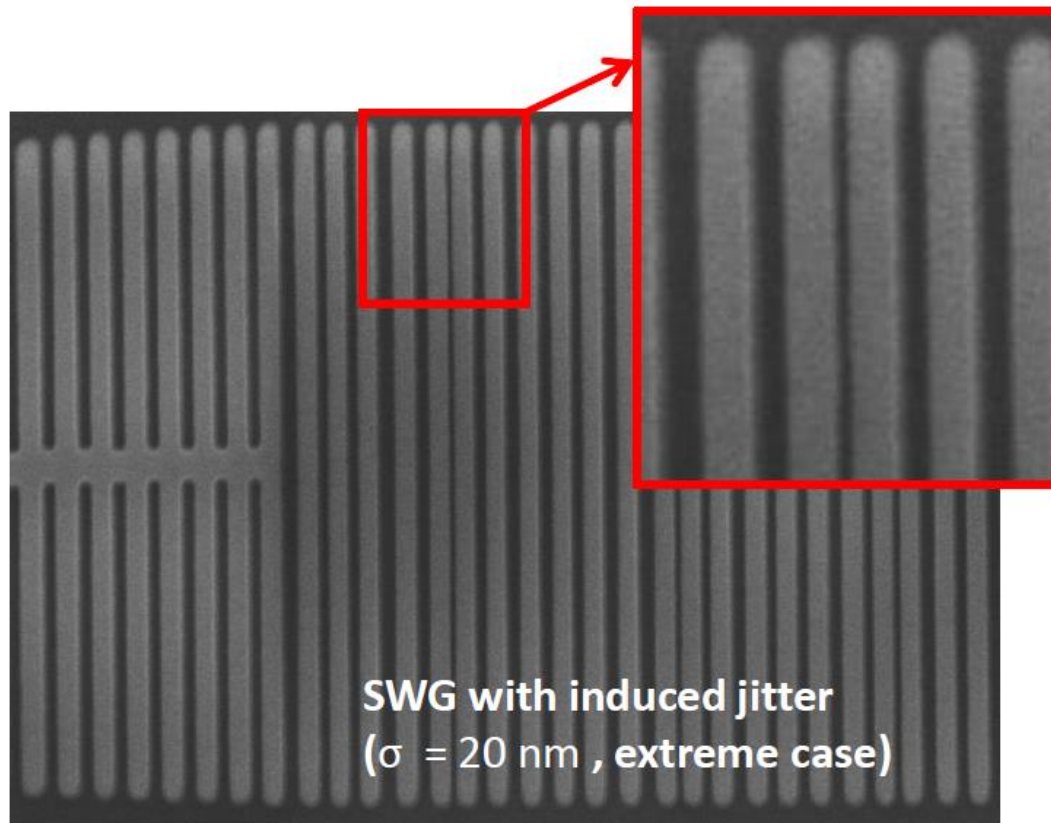


[P. Cheben, Optics Letters 35, 2010](#)



Reduced effective index: substrate leakage for  $n_{\text{eff}} < 1.6$

[J. D. Sarmiento-Merenguel, Optics Letters 41, 2016](#)



Disorder (jitter) of  $\sim 5$ nm produces losses for wide (multimode) waveguides.

[A. Ortega-Moñux, Optics Express 25, 2017](#)



## **Refractive Index**

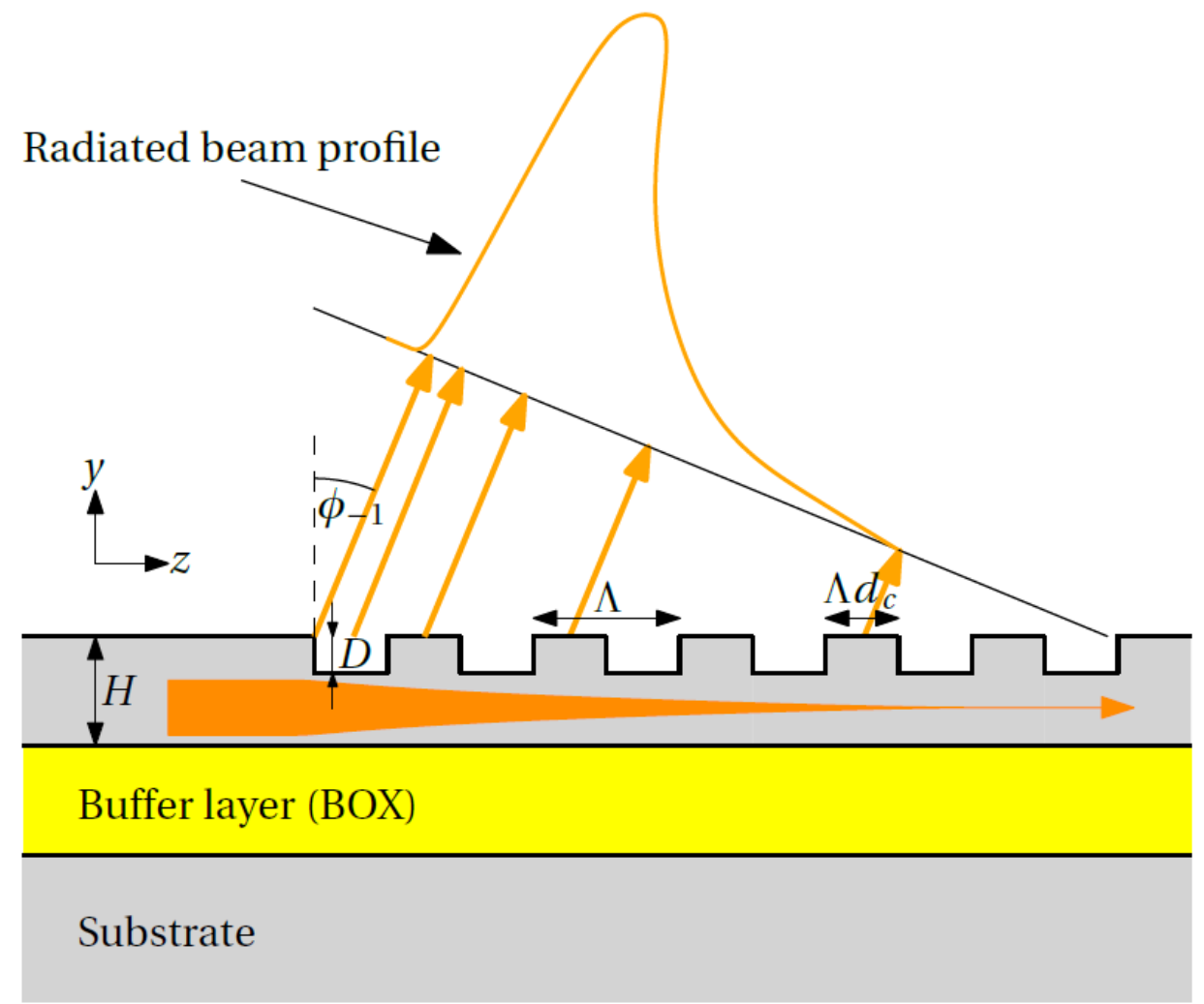
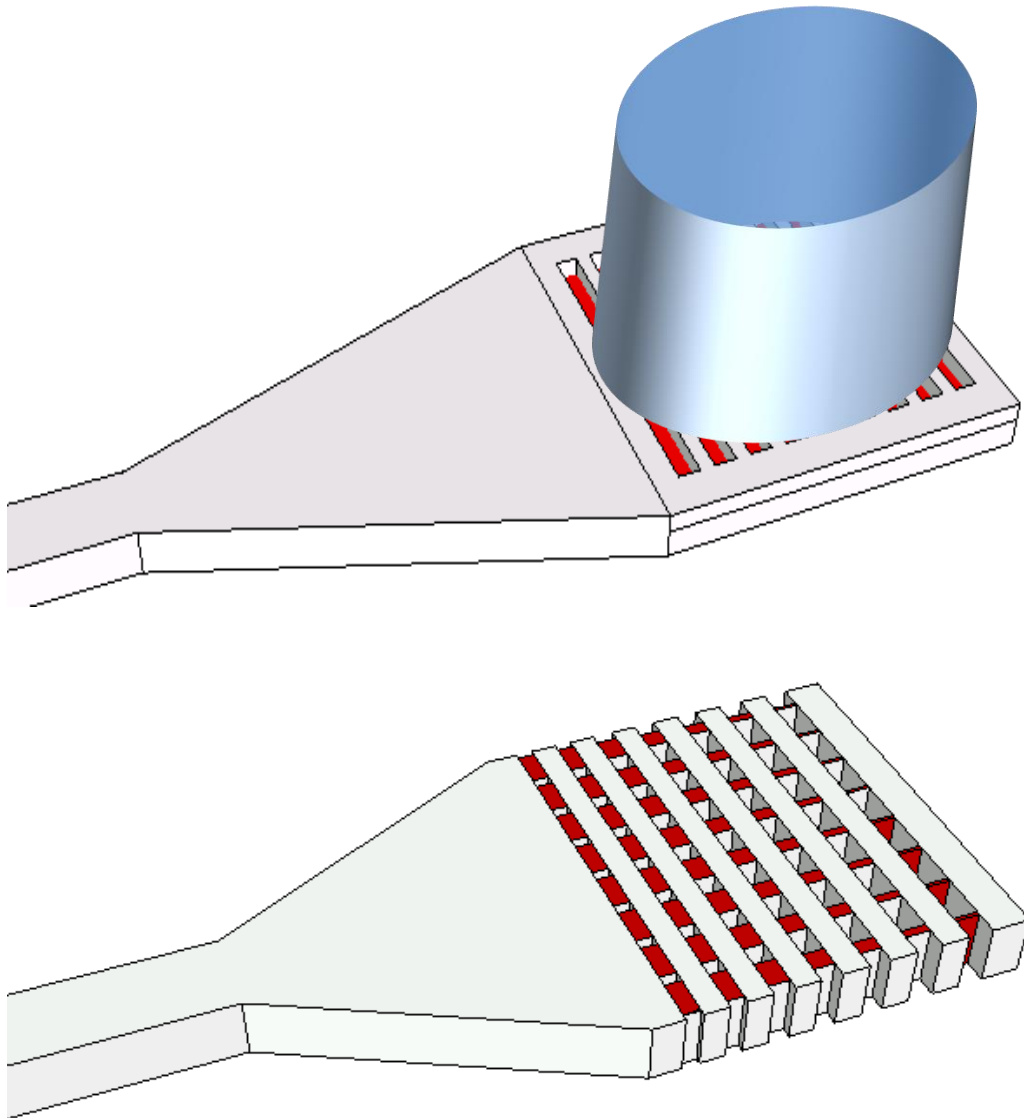
Fundamentals

Applications & Devices

## **Dispersion & Anisotropy**

Fundamentals

Applications & Devices

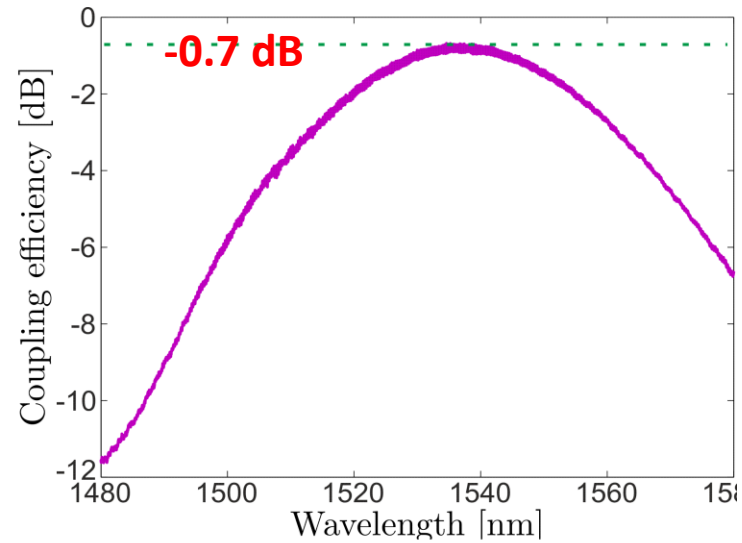
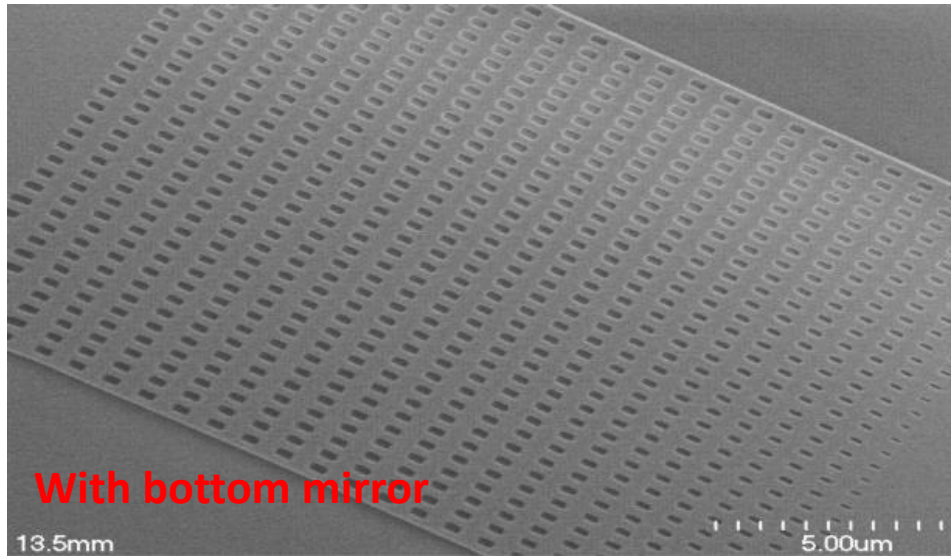


[R. Halir, Optics Letters 34, 2009](#)



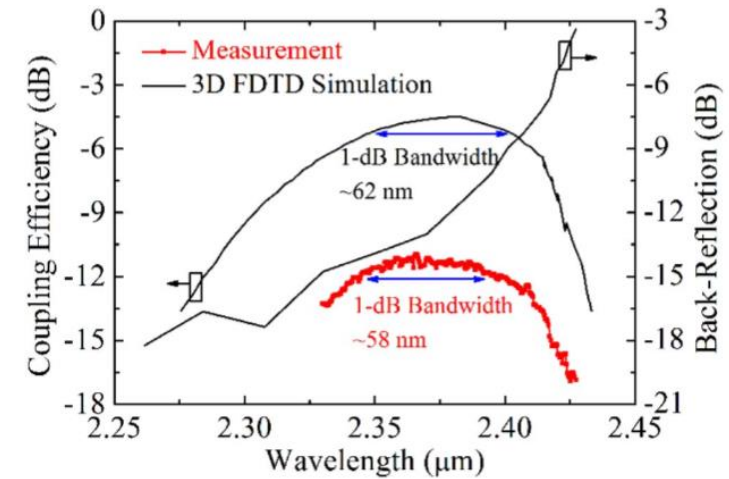
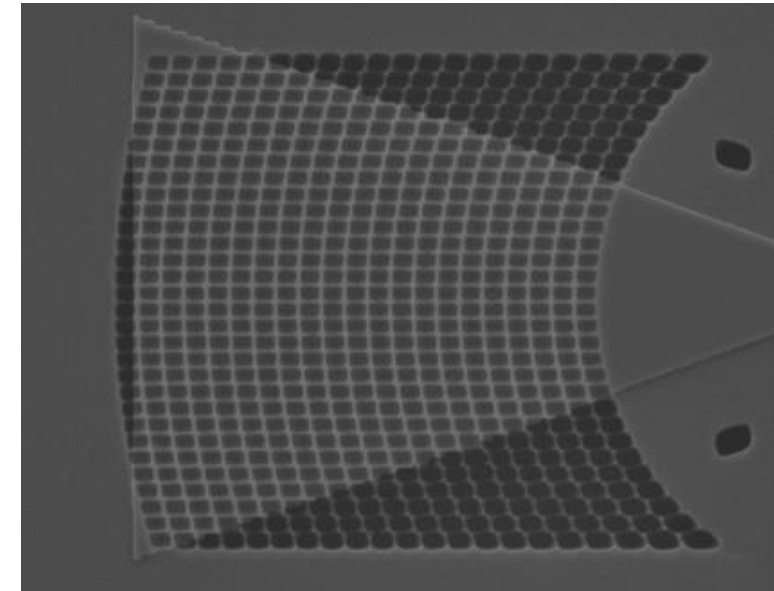


## Silicon – near infrared



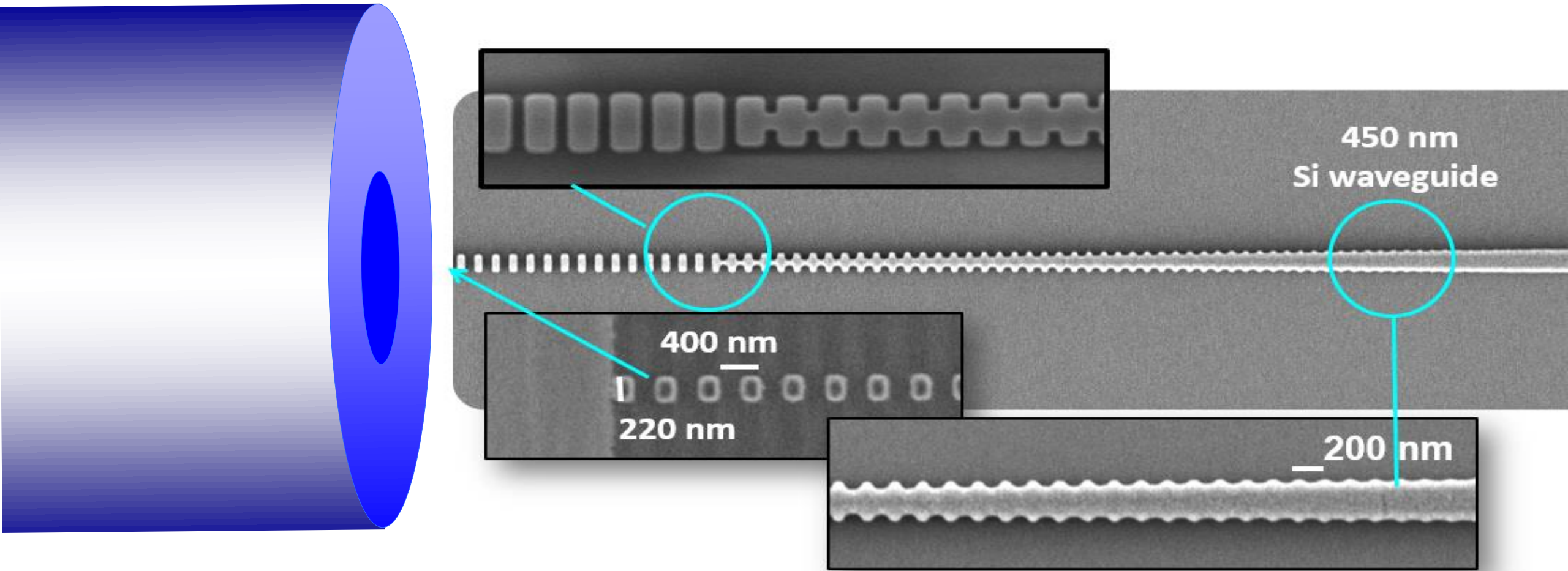
[D. Benedikovic, Optics Express 23, 2015](#)

## Germanium – mid infrared



[J. Kang, Optics Letters 42, 2017](#)





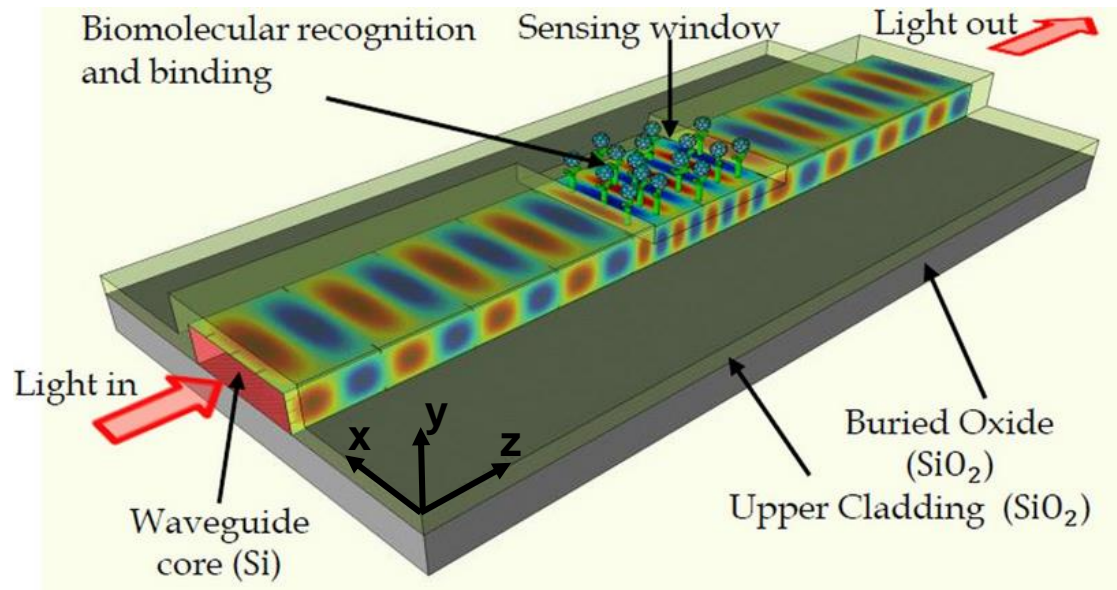
**0.32dB Loss, PDL<0.05dB  
BW>100nm, MFD=3.2um**

[P. Cheben, Optics Express 14, 2006](#)

[P. Cheben, Optics Express 23, 2015](#)

P. Cheben, US Patent 7,680,371

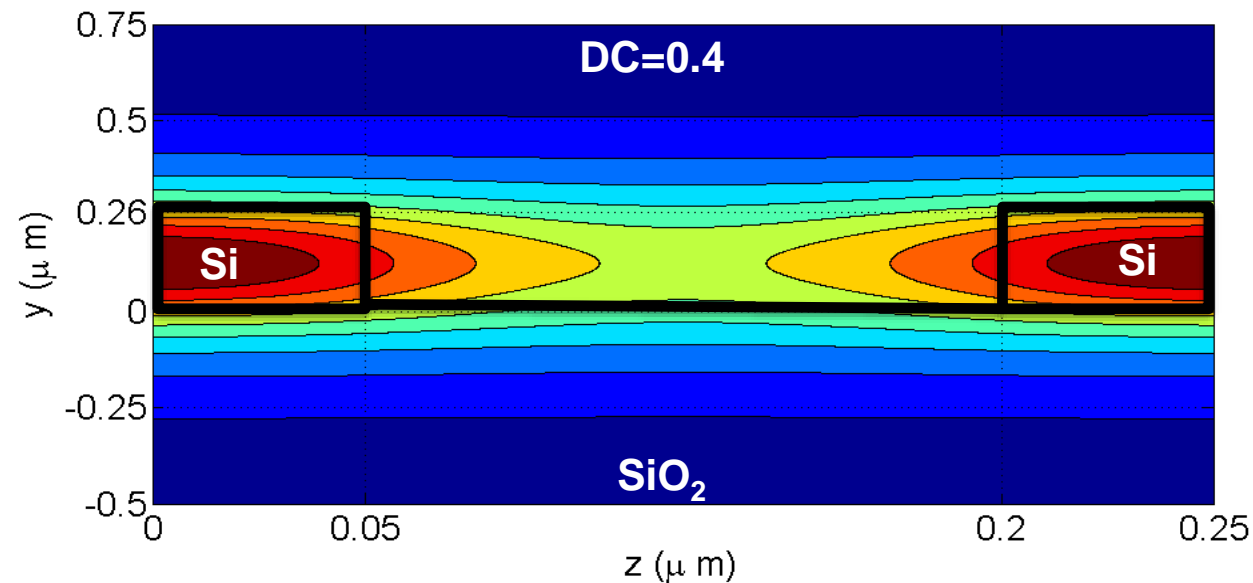
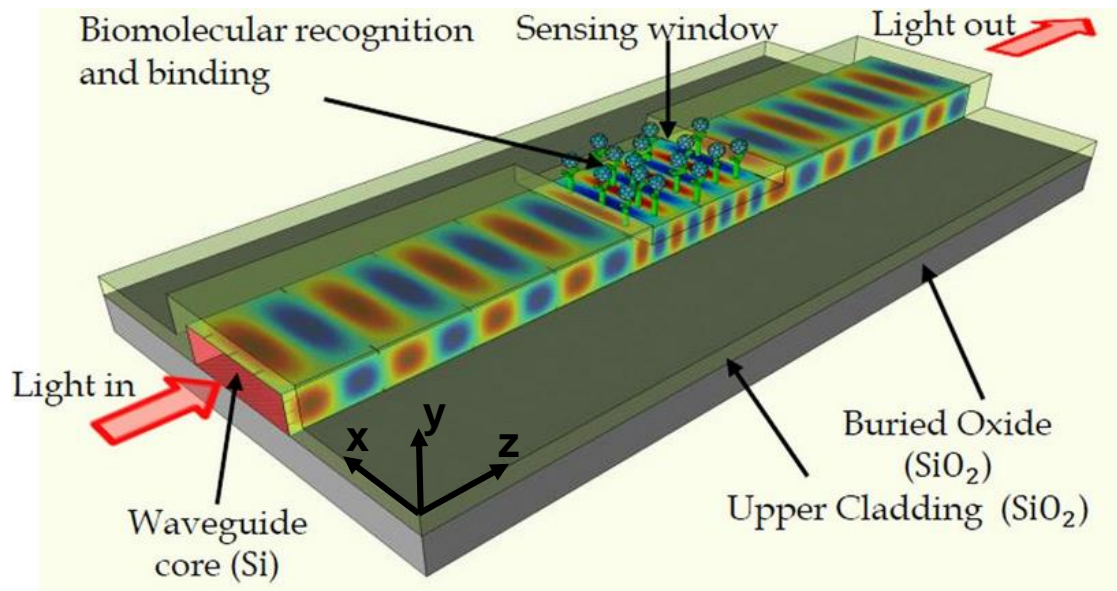
[T. Barwicz, OFC 2016, M2I.3 \(IBM\)](#)



$$\Delta n_{eff} = c \int \Delta n(x, y)^2 |E(x, y)|^2 dx dy$$

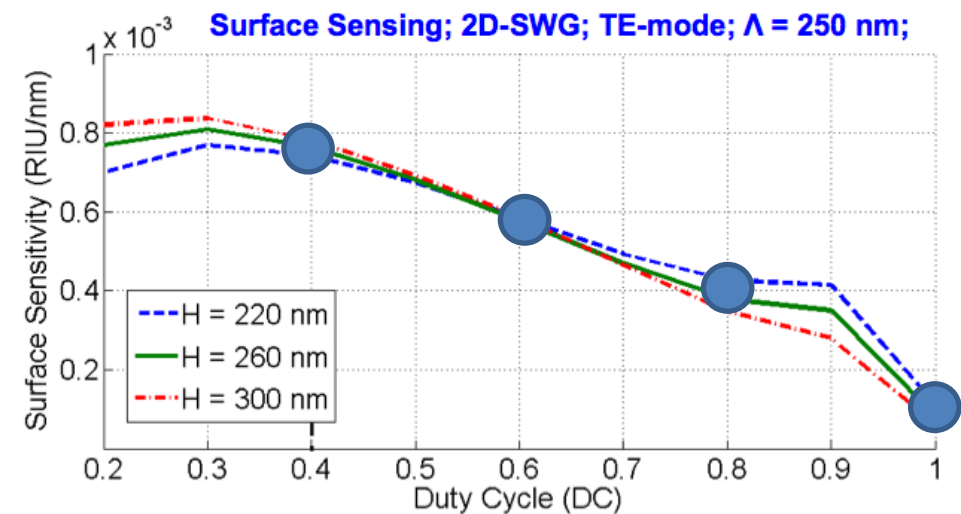
**Delocalize field**

[J. G. Wangüemert-Pérez, Optics Letters 39, 2014](#) + [J. G. Wangüemert-Pérez, Optics Laser Technol. 109, 2019](#)

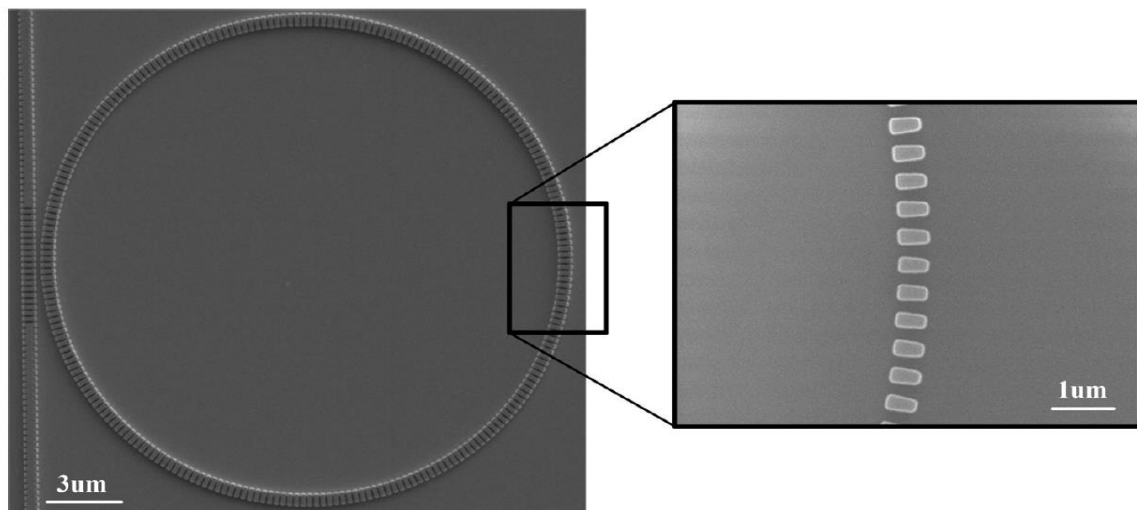


$$\Delta n_{eff} = c \int \Delta n(x, y)^2 |E(x, y)|^2 dx dy$$

**Delocalize field**

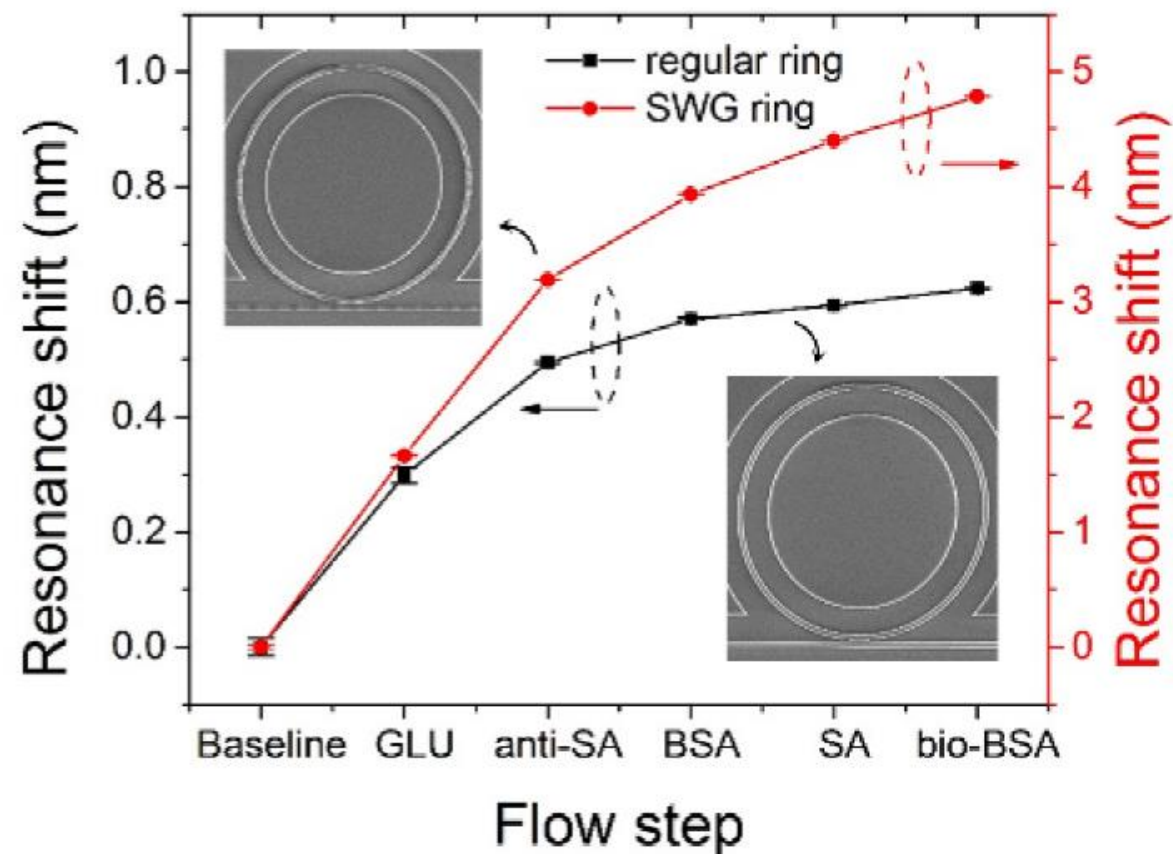


[J. G. Wangüemert-Pérez, Optics Letters 39, 2014](#) + [J. G. Wangüemert-Pérez, Optics Laser Technol. 109, 2019](#)



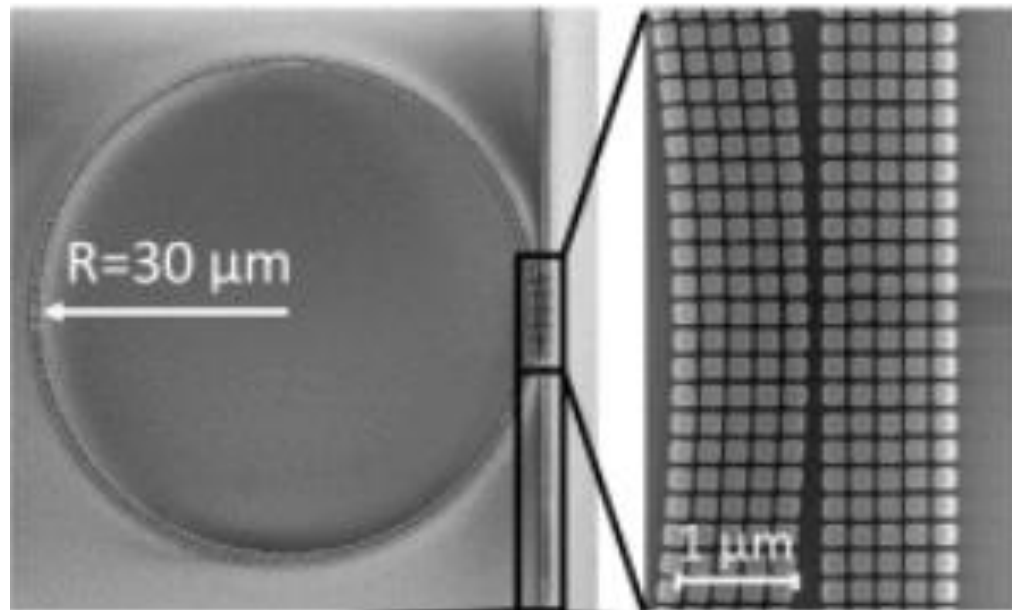
**490nm / RIU**

Demonstration of enhanced bulk sensing  
[Flueckiger, Optics Express 24, 2016](#)



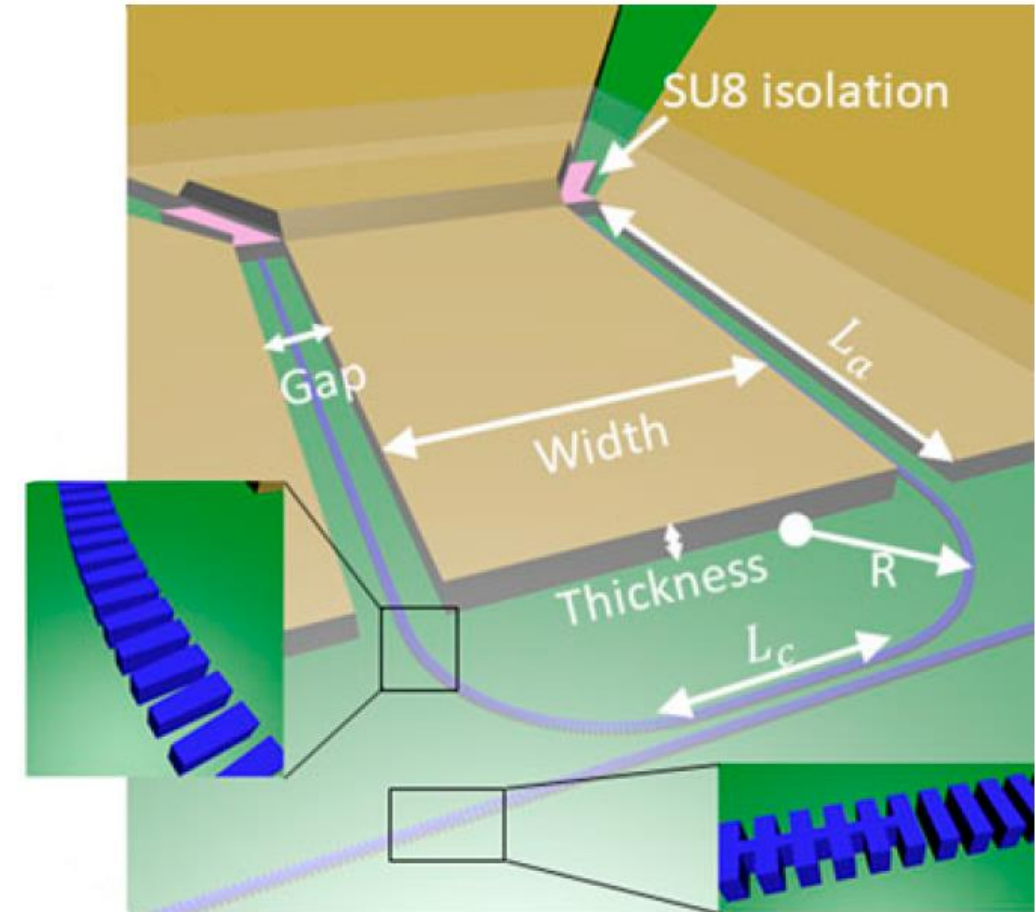
Demonstration of surface sensing  
[H. Yan, Optics Express 24, 2016](#)





580nm / RIU

[E. Luan, J. Selected Topics Quantum Electronics 25, 2018](#)



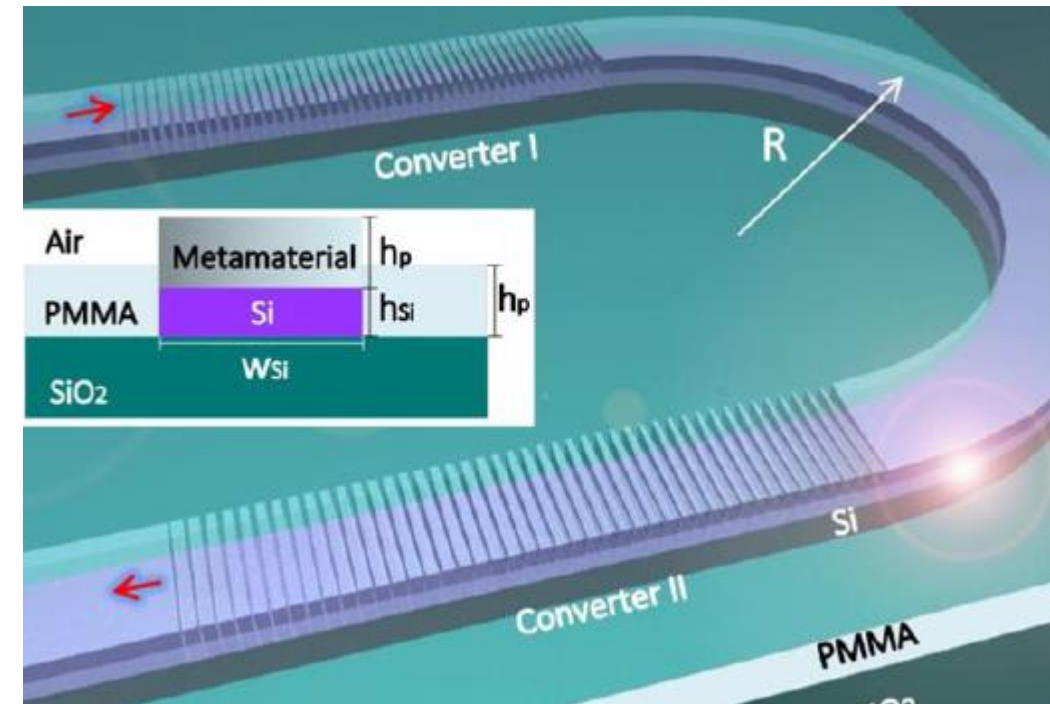
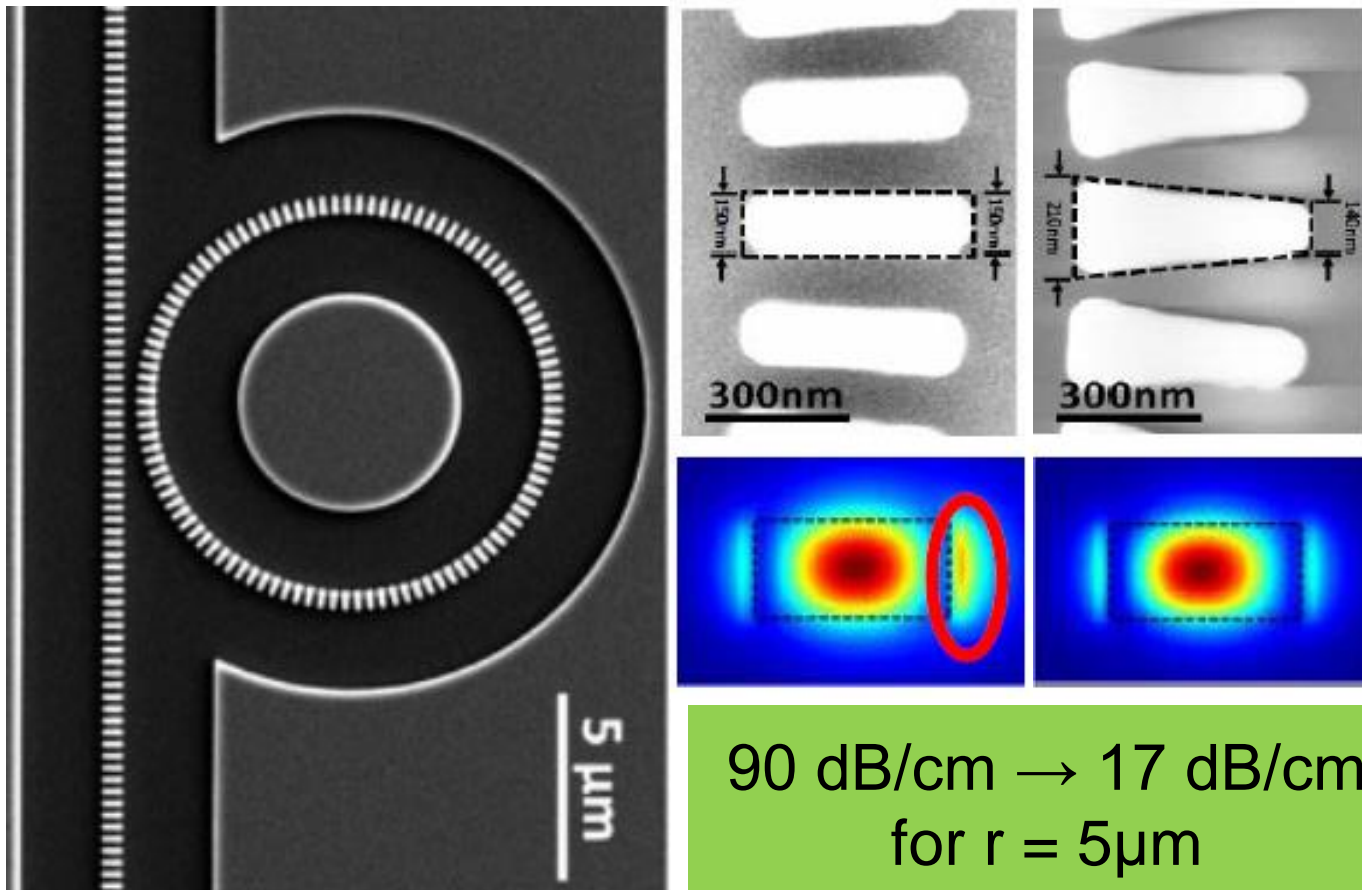
Electro-optic polymer  
40GHz bandwidth

[Z. Pan, Laser and Photonics Reviews 12, 2018](#)



## Single-mode waveguide bends

## Multi-mode waveguide bends

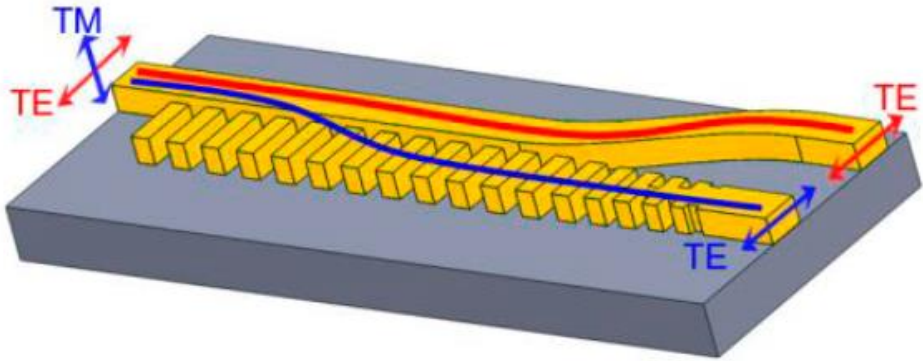


Intermodal crosstalk 5dB  $\rightarrow$  20dB  
for  $r=30\mu\text{m}$

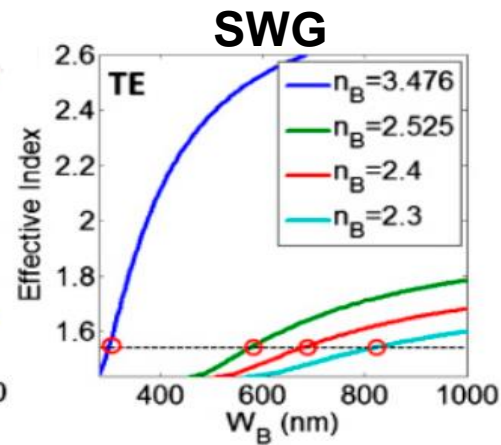
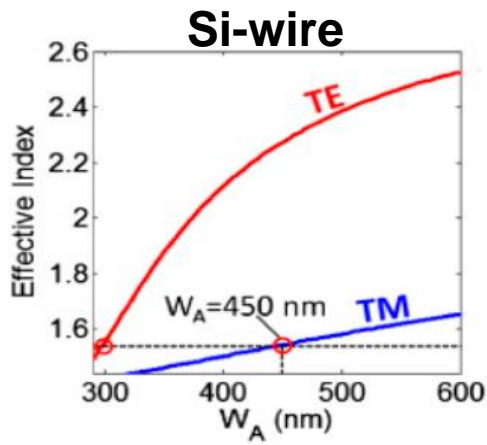
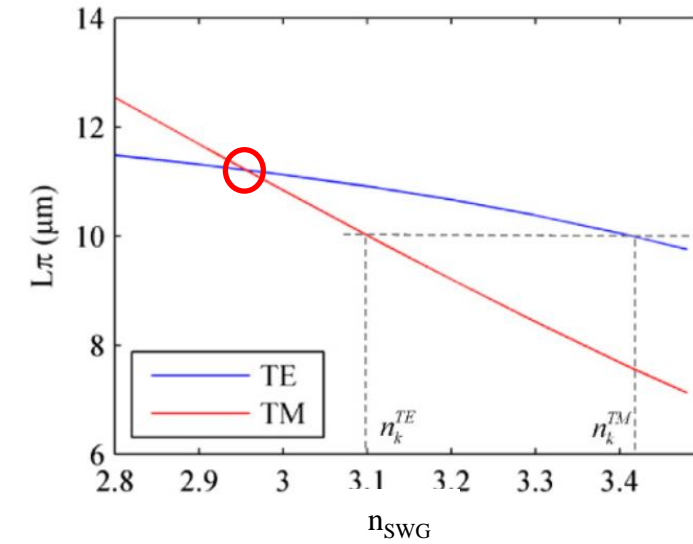
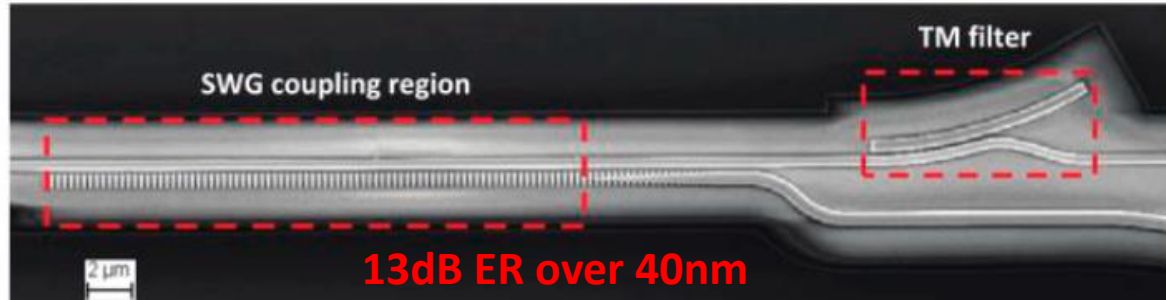
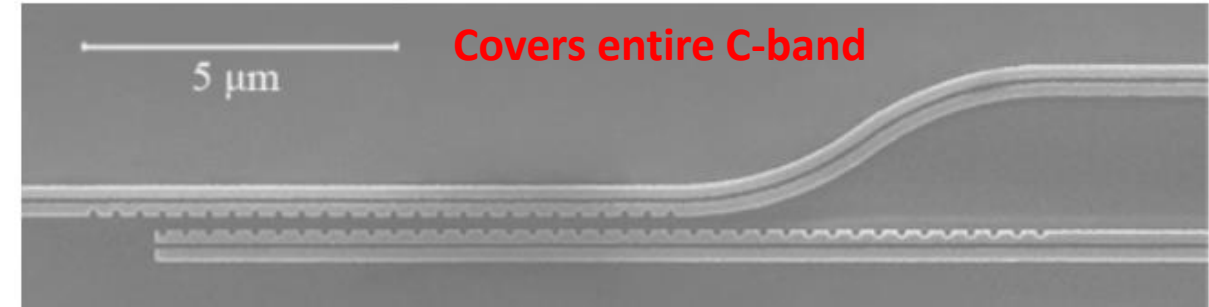
[Z. Wang, Optics Letters 41, 2016](#)

[H. Xu, Laser and Photonics Reviews 12, 2018](#)





Polarization independent coupler

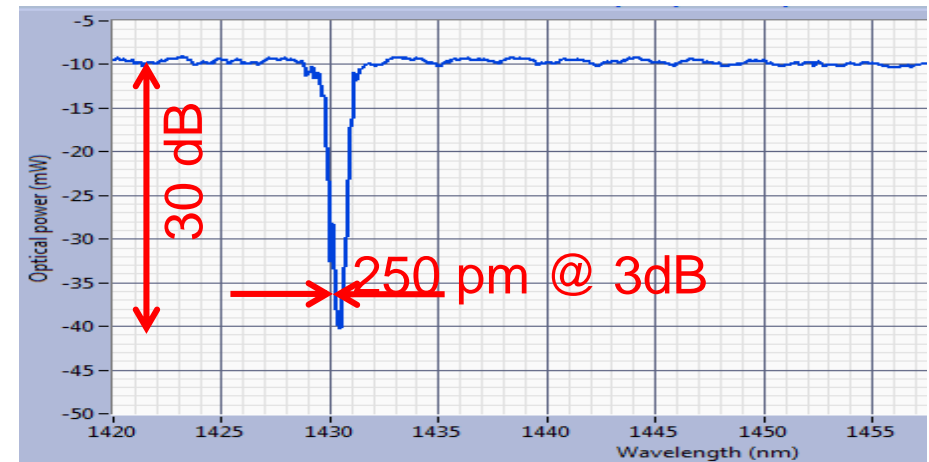
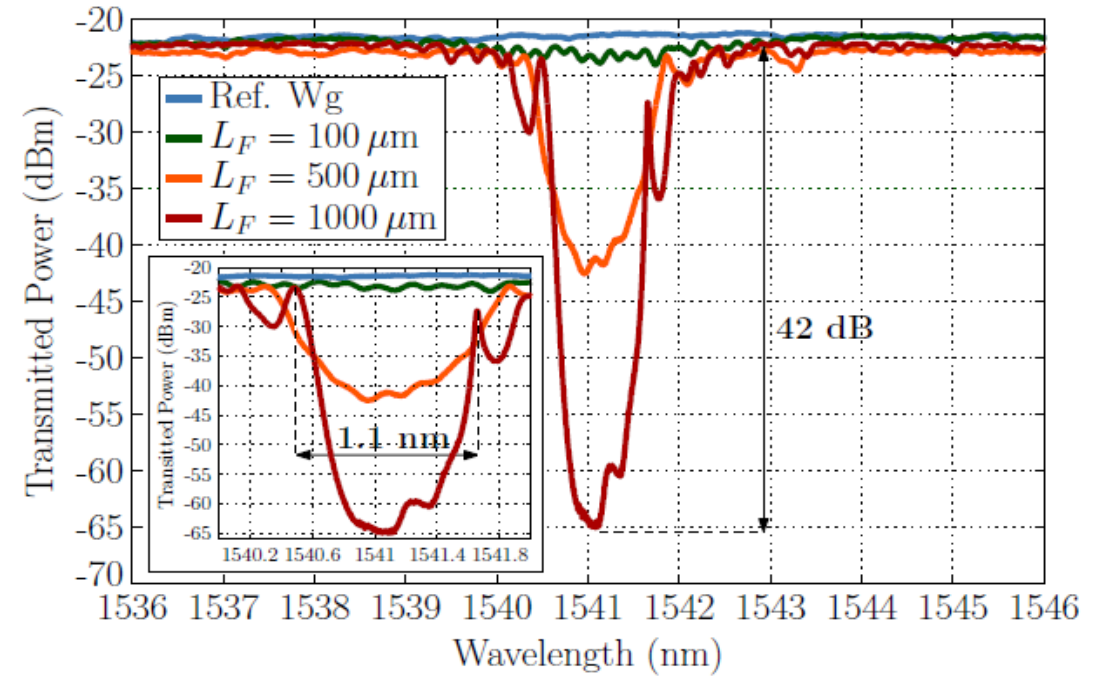
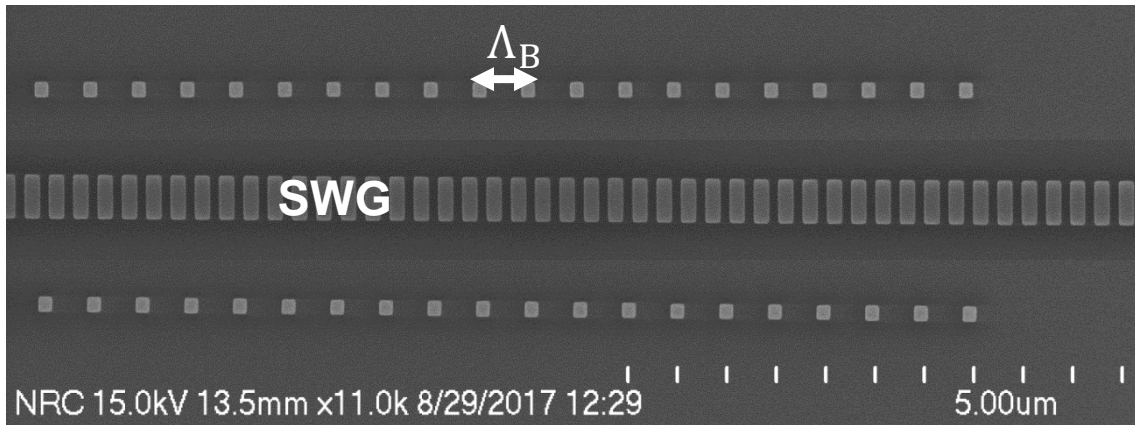
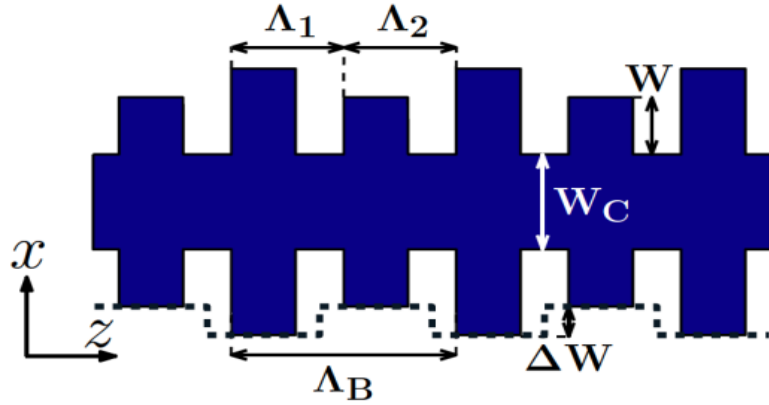
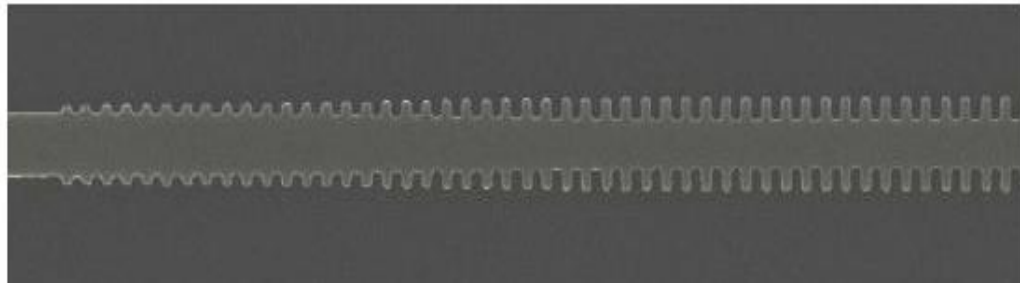


[Y. Xiong, Optics Letters 39, 2014](#) + [Y. He, OFC 2017, Th1G.6](#)

[L. Liu, Optics Letters 41, 2016](#)



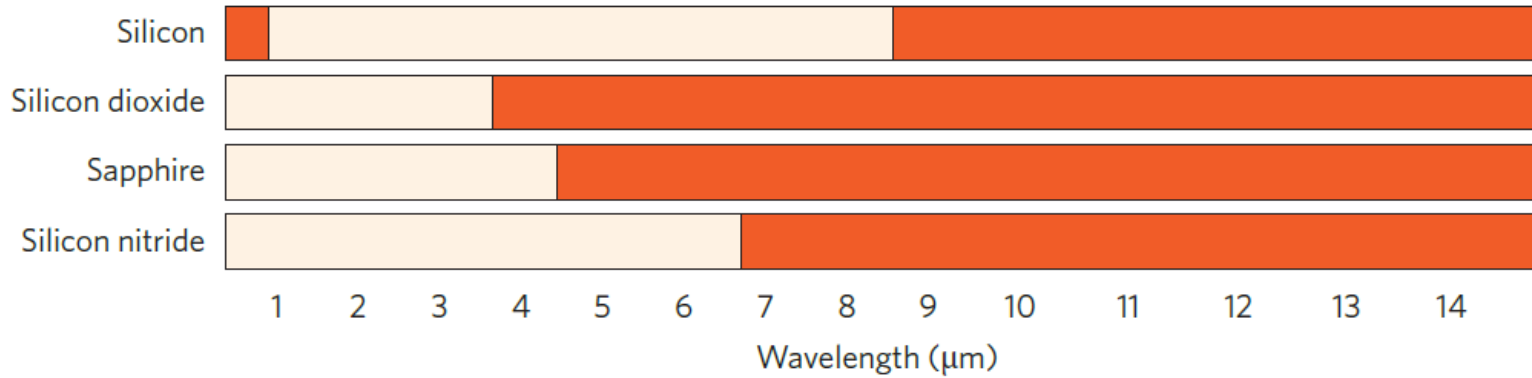
# Narrow Bragg filters



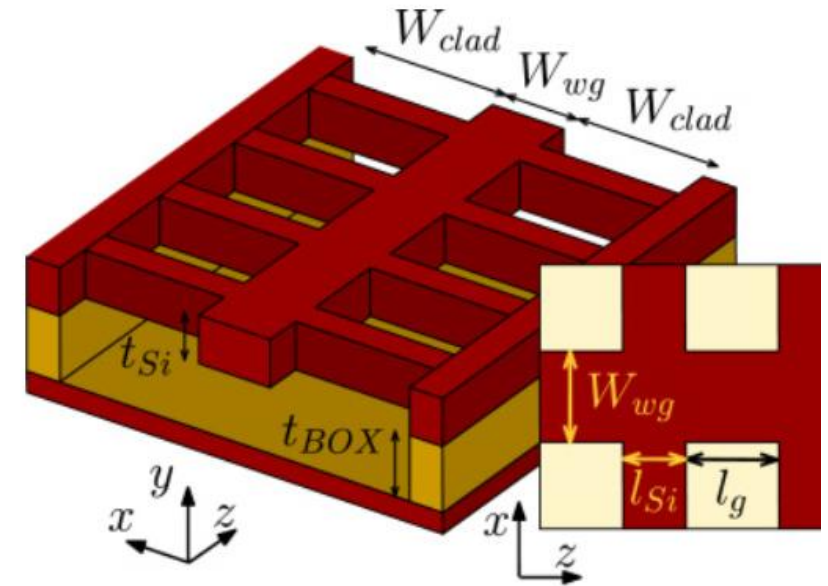
[D. Pérez-Galacho, Optics Letters 42, 2017](#) + [J. Ctyroky, Optics Express 26, 2018](#) + P. Cheben, ECOC 2018, Invited



# Mid-IR suspended waveguides

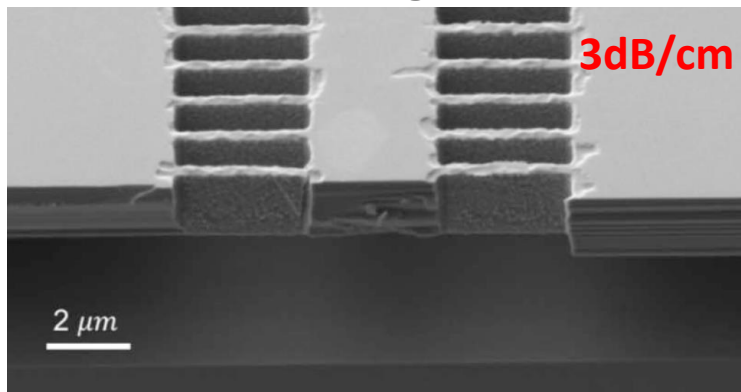


[R. Soref, Nature Photonics 4, 2010](#)



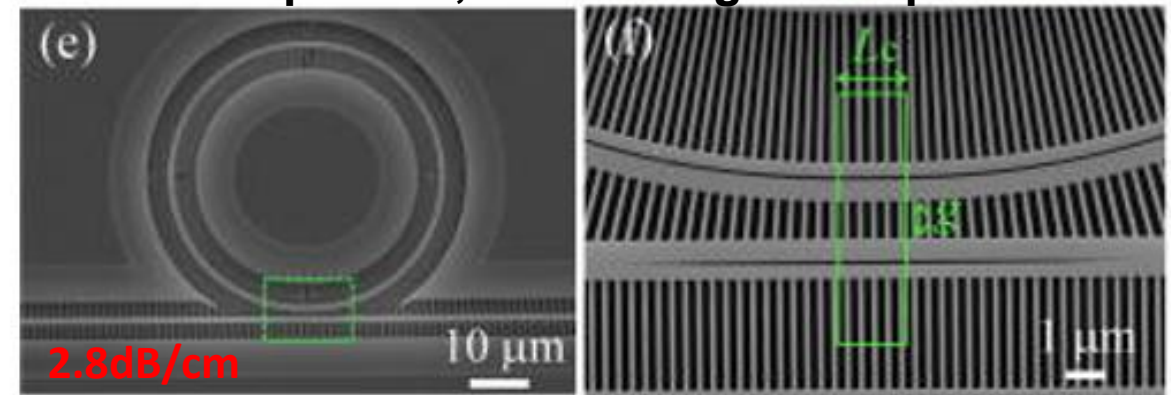
[J. Soler Penadés, Optics Letters 39, 2014](#)

### Suspended waveguide at 7.7μm



[J. Soler Penadés, Optics Letters 43, 2018](#)

### Suspended, slotted rings at 2.2μm



[W. Zhou, J. Applied Physics 123, 2018](#)



## **Refractive Index**

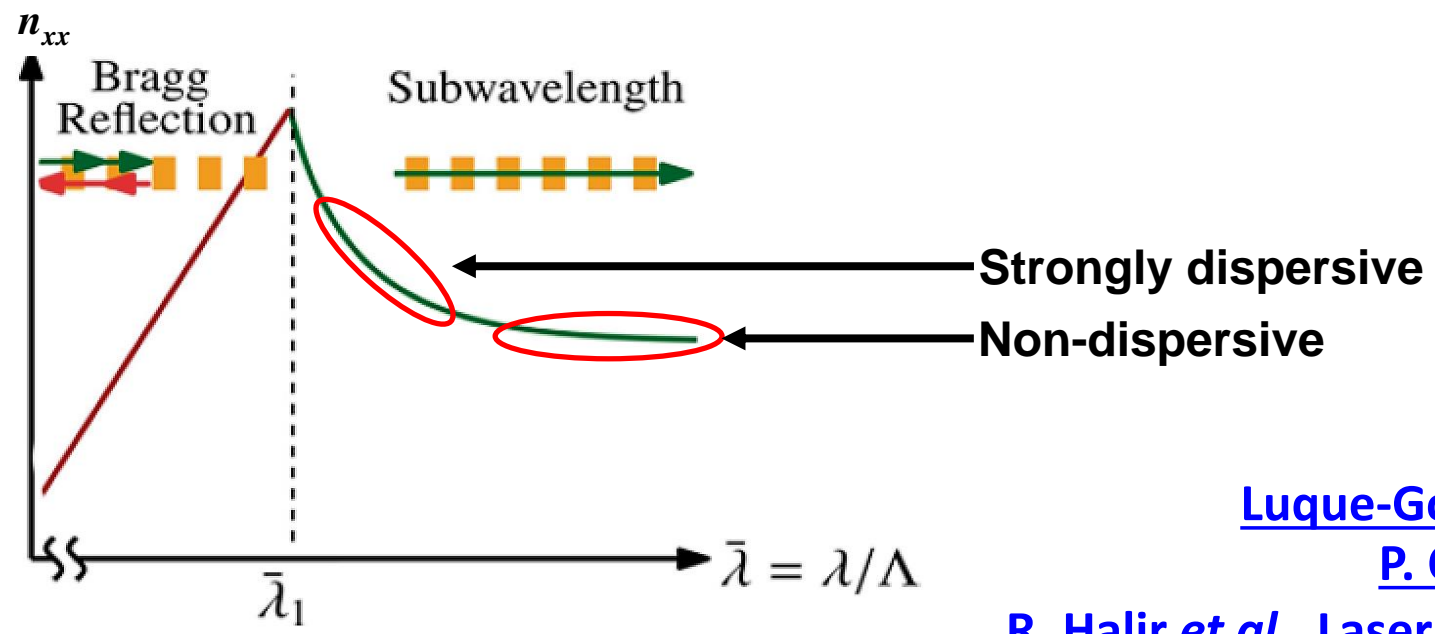
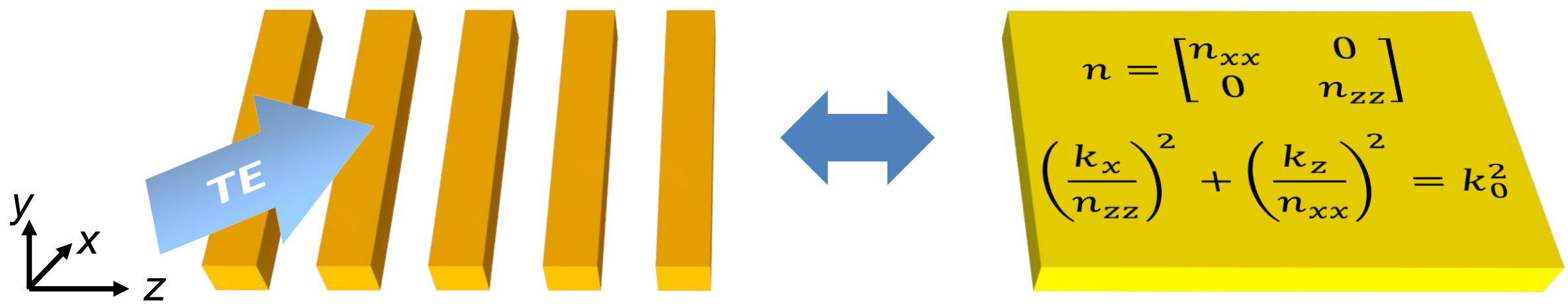
Fundamentals

Applications & Devices

## **Dispersion & Anisotropy**

Fundamentals

Applications & Devices



[Luque-González, Optics Letters 43, 2018](#)

[P. Cheben et al., Nature 560, 2018](#)

[R. Halir et al., Laser and Photonics Reviews 9, 2015](#)





## **Refractive Index**

Fundamentals

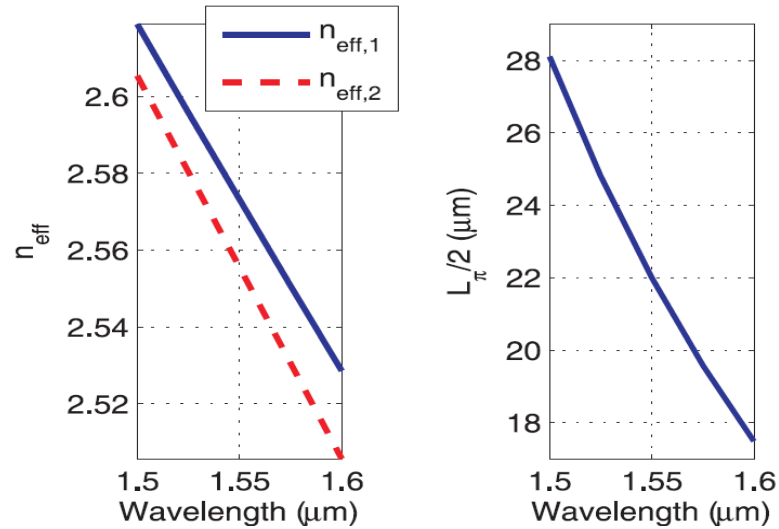
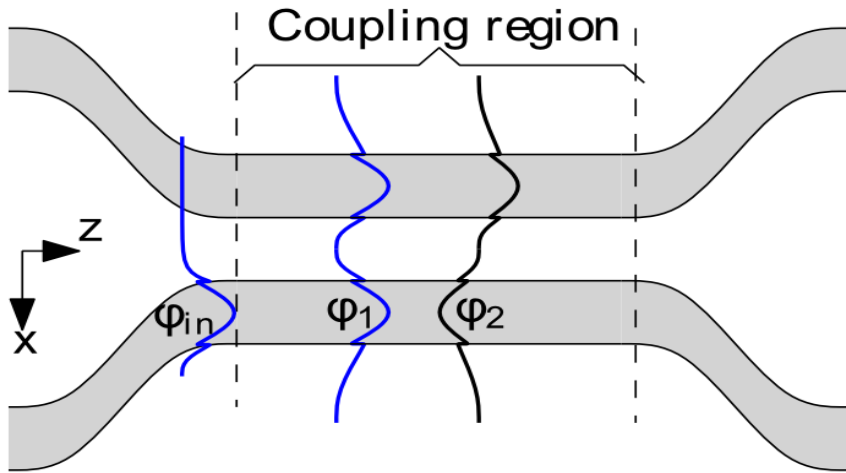
Applications & Devices

## **Dispersion & Anisotropy**

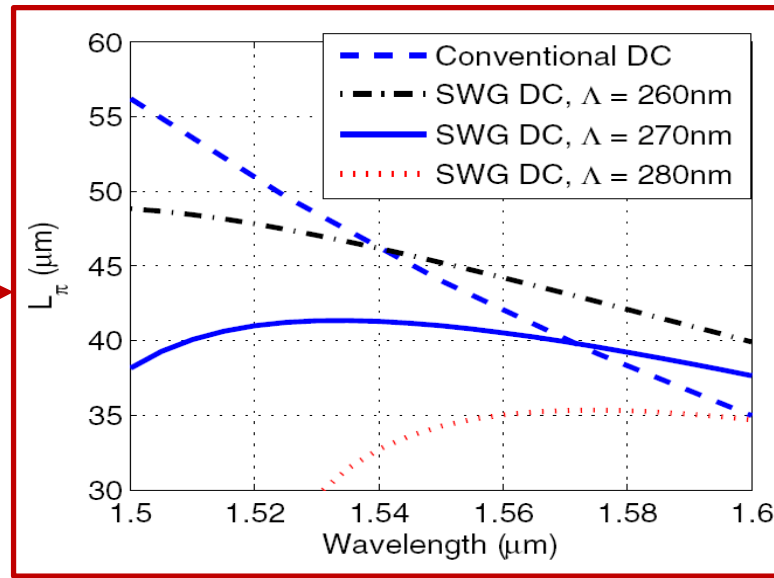
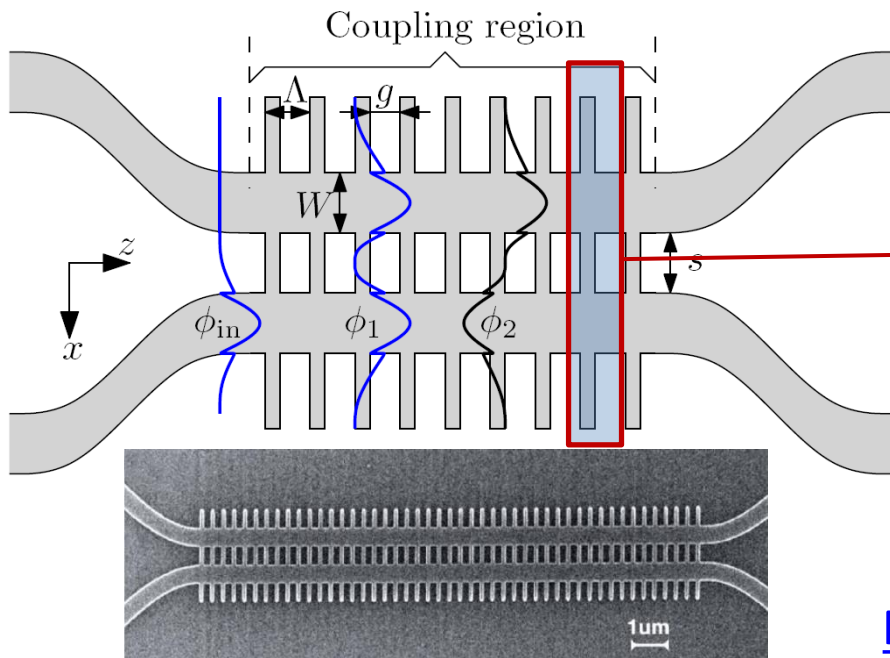
Fundamentals

Applications & Devices

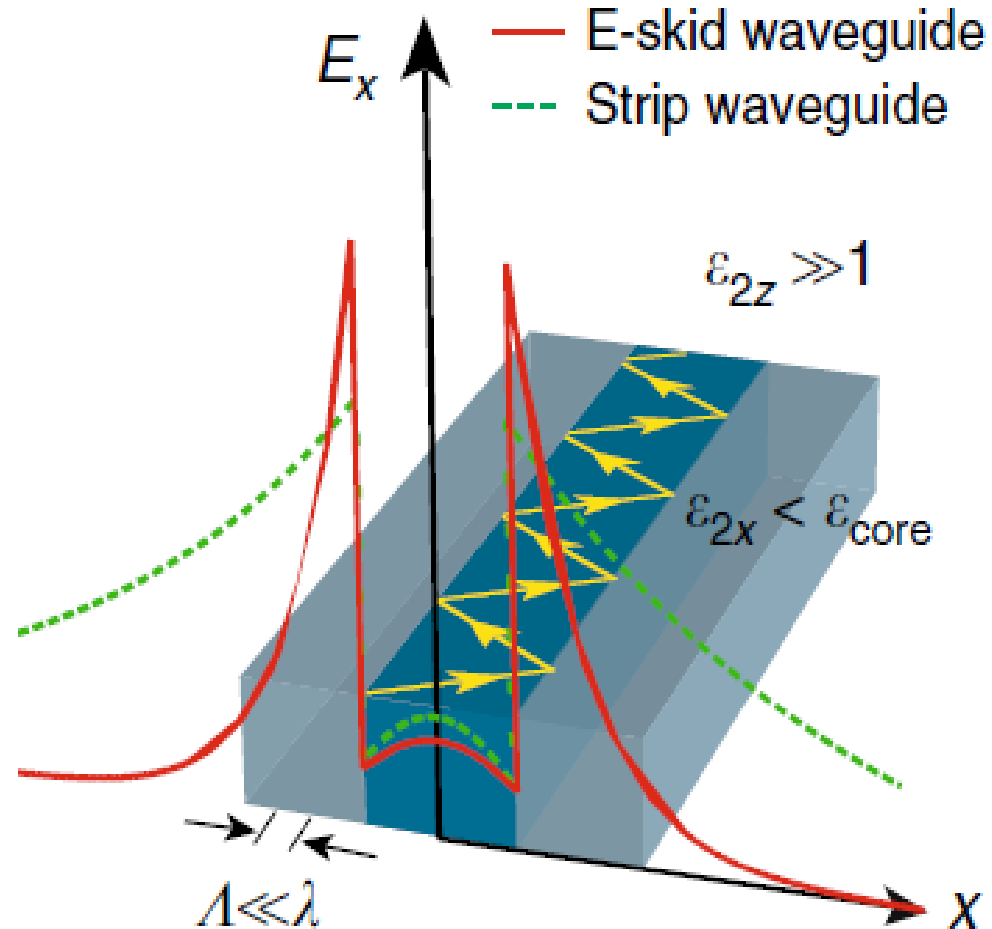
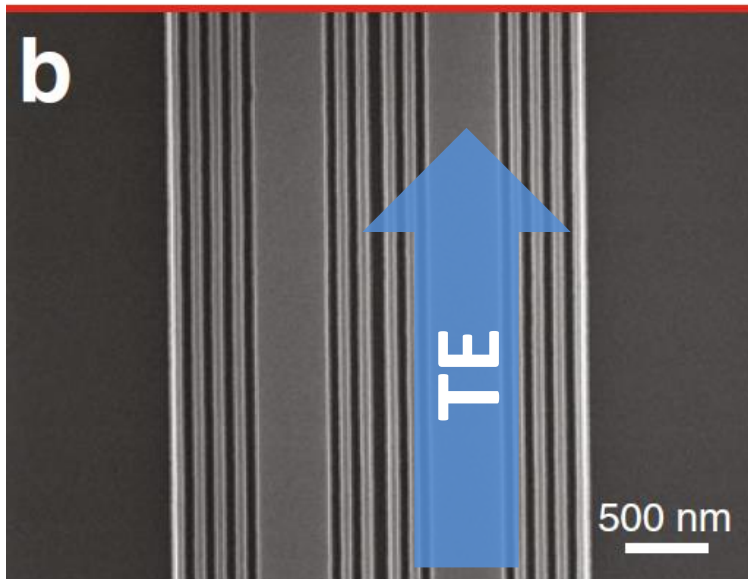
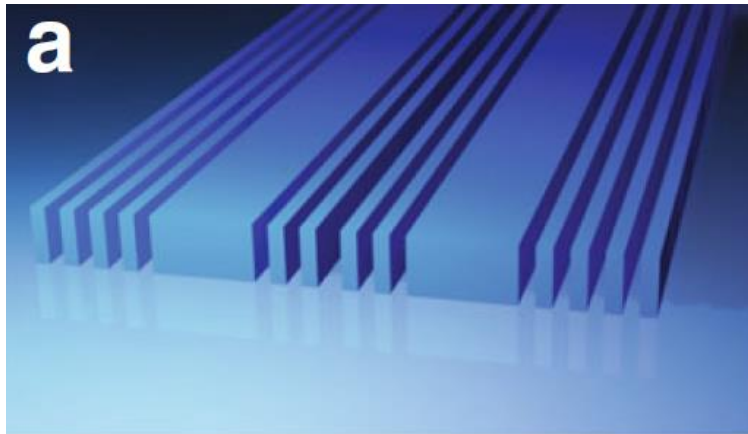




**5x bandwidth enhancement**



[R. Halir, Optics Express 20, 2012](#) + [Y. Wang, IEEE Photonics J. 8, 2016](#)



“Relaxed” TIR:

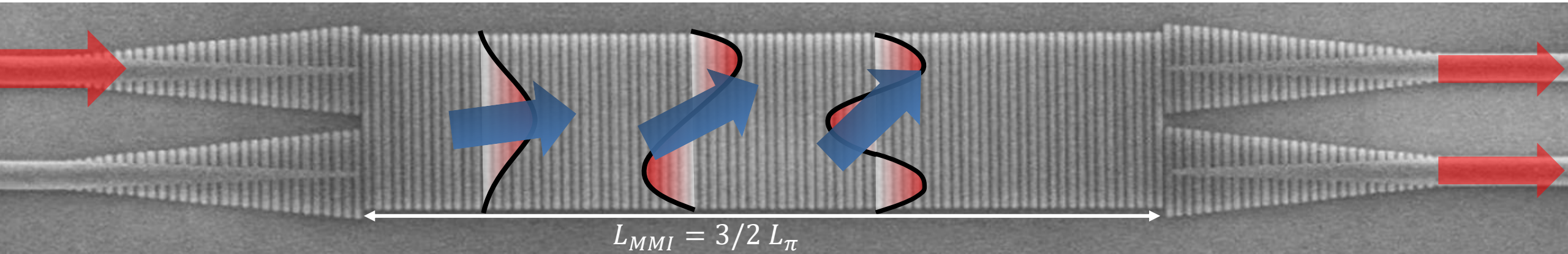
$$n_{\text{core}} > n_{xx}$$

Evanescent decay:

$$k_x \propto n_{zz}$$

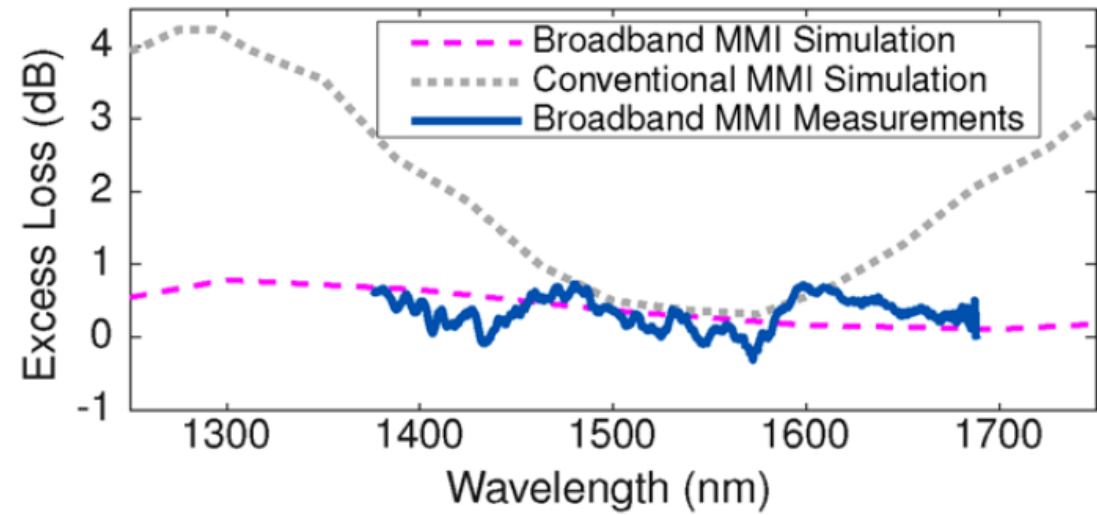
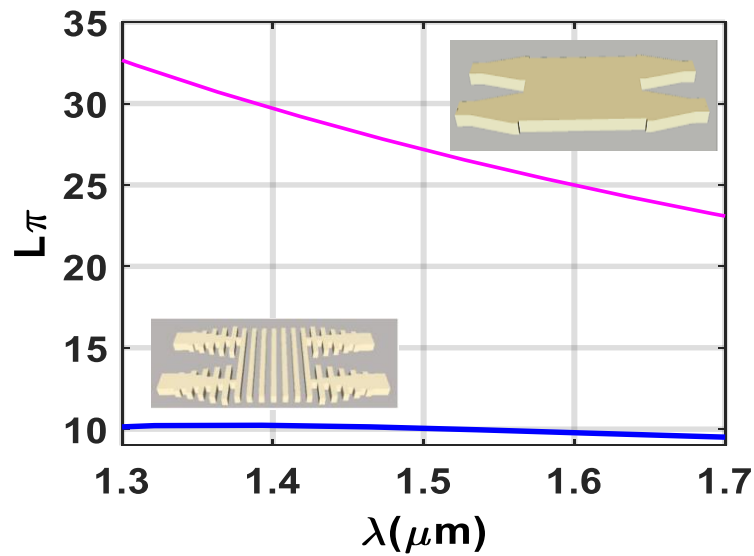
**Crosstalk reduced  
by 30dB.**

[S. Jahani, Nature Communications 9, 2018](#) + [A. Khavasi, Photonics Technol. Letters 28, 2016](#)

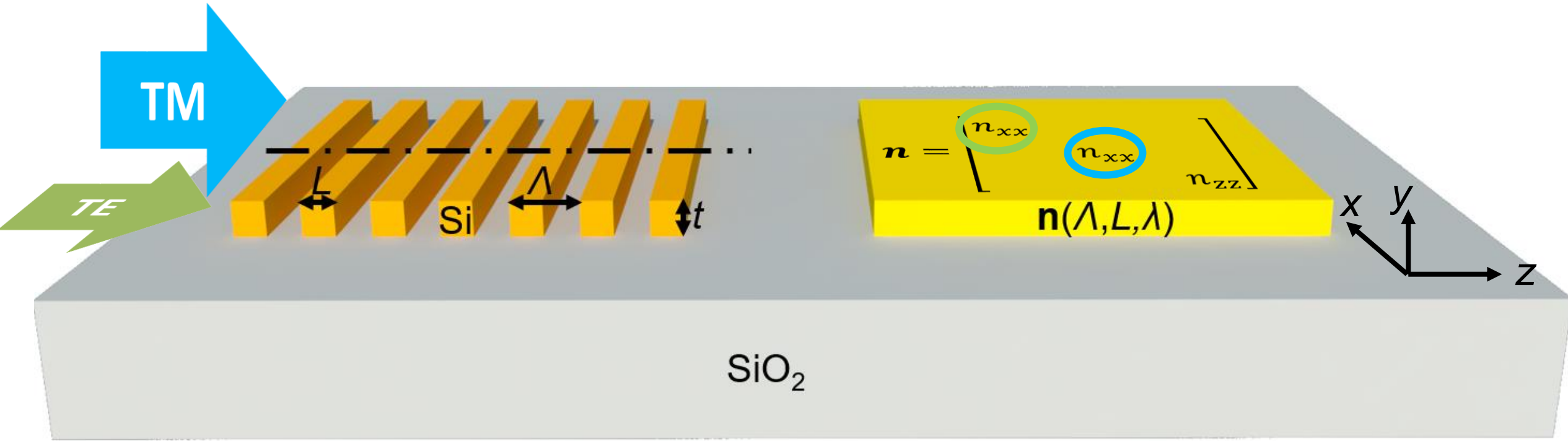


$$L_{\pi}^{conv} \approx \frac{4W^2}{3\lambda} n_{core}$$

$$L_{\pi}^{aniso} \approx \frac{4W^2}{3\lambda} \frac{n_{zz}^2}{n_{xx}}$$

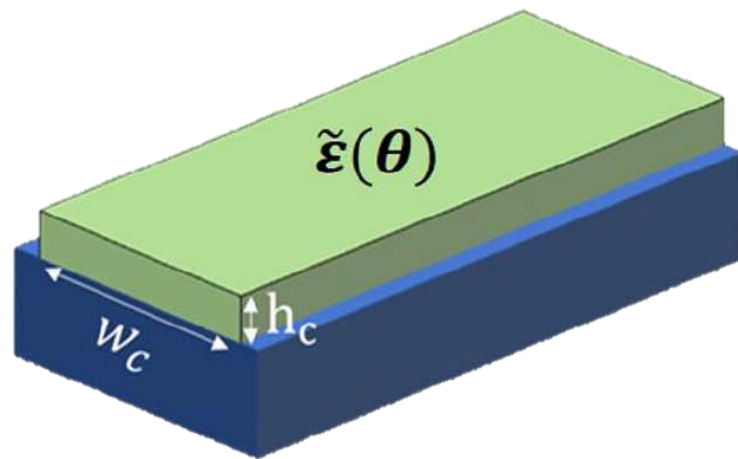
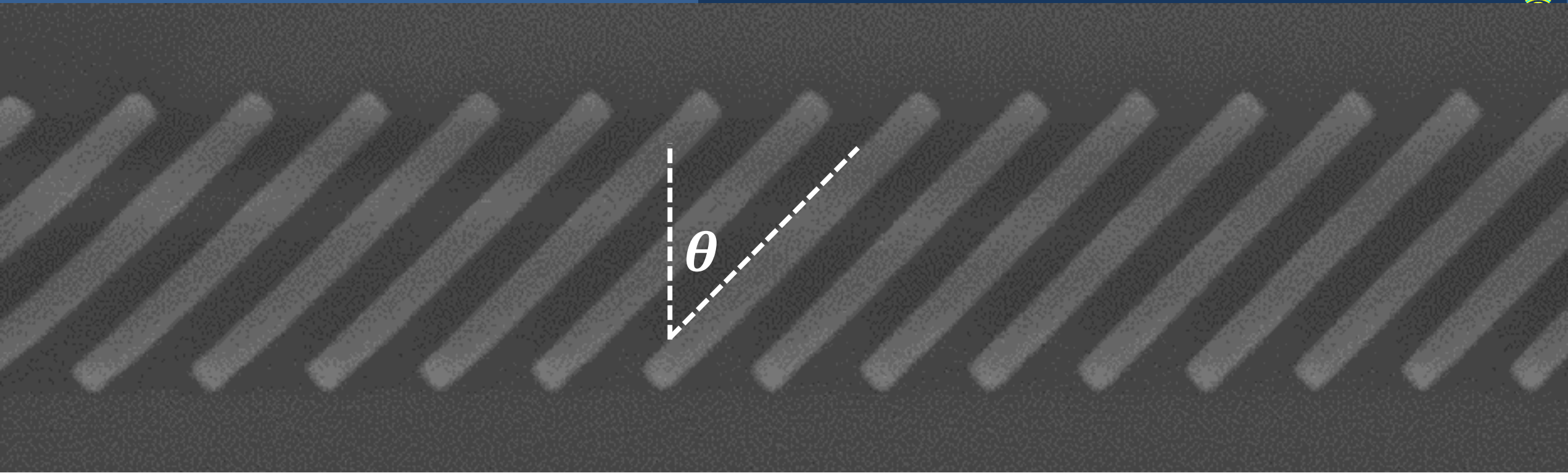


[R. Halir, Laser and Photonics Reviews, 2016](#)



$$n_{xx}^2 \approx \frac{L}{\Lambda} n_{Si}^2 + \left(1 - \frac{L}{\Lambda}\right) n_{SiO_2}^2$$

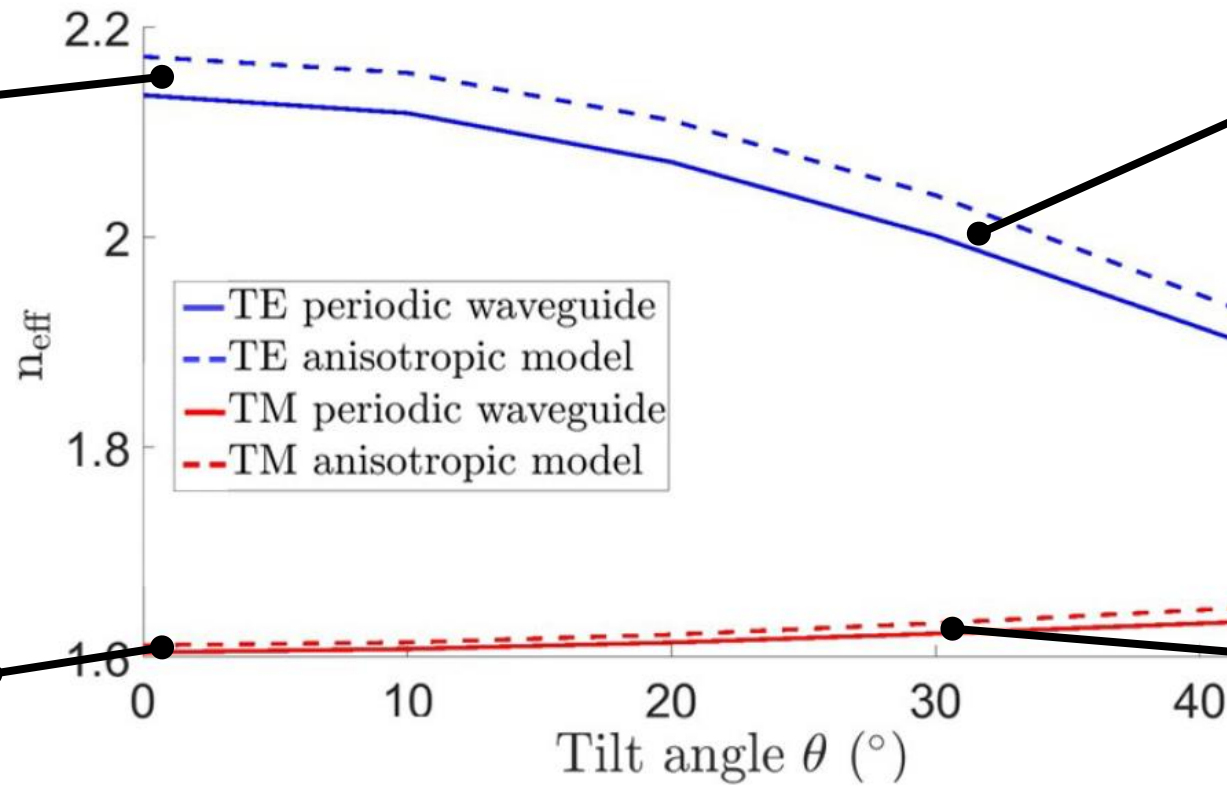
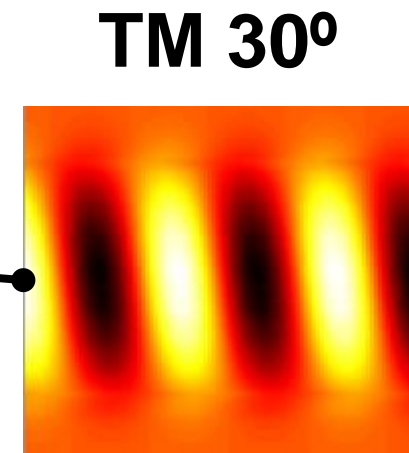
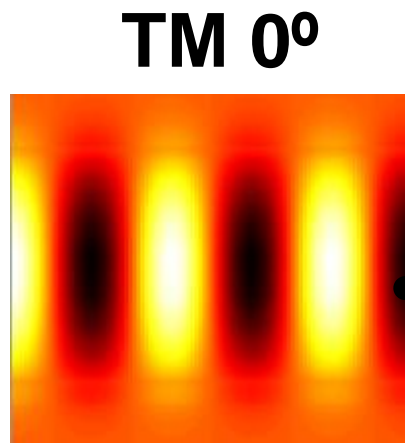
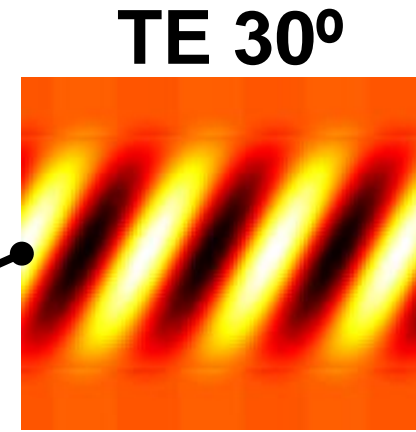
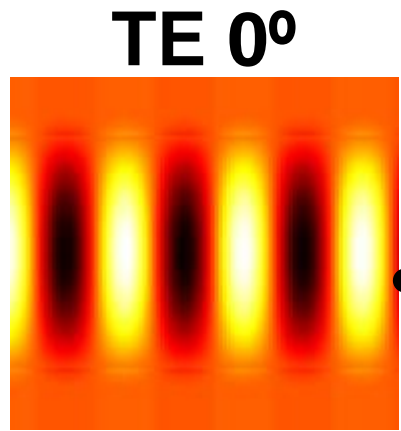
Wide index range  
 Small feature sizes  
 Both polarizations affected equally



$$\tilde{\boldsymbol{\epsilon}} = R^{-1}(\theta) \begin{bmatrix} n_{xx}^2 & 0 & 0 \\ 0 & n_{xx}^2 & 0 \\ 0 & 0 & n_{zz}^2 \end{bmatrix} R(\theta)$$

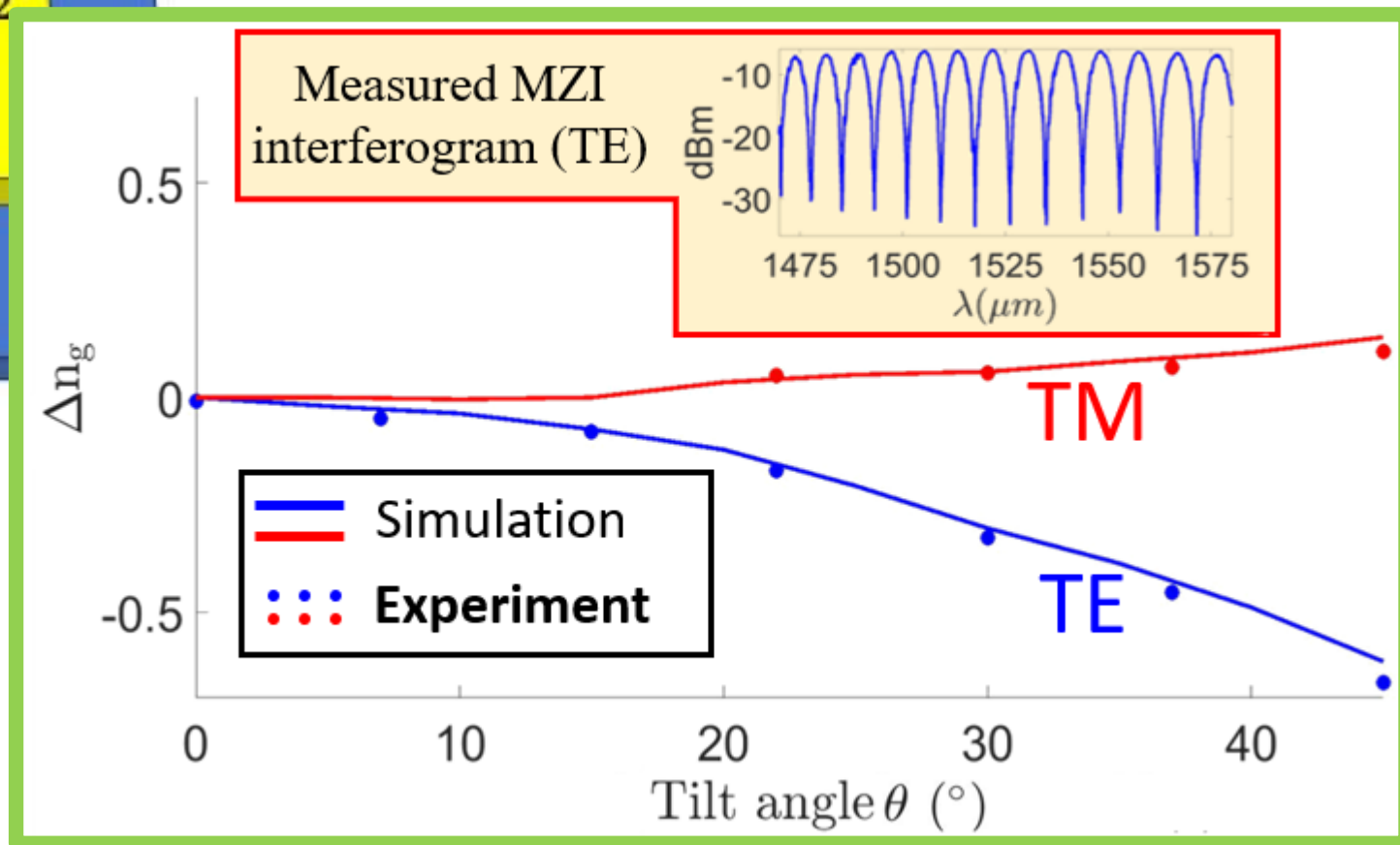
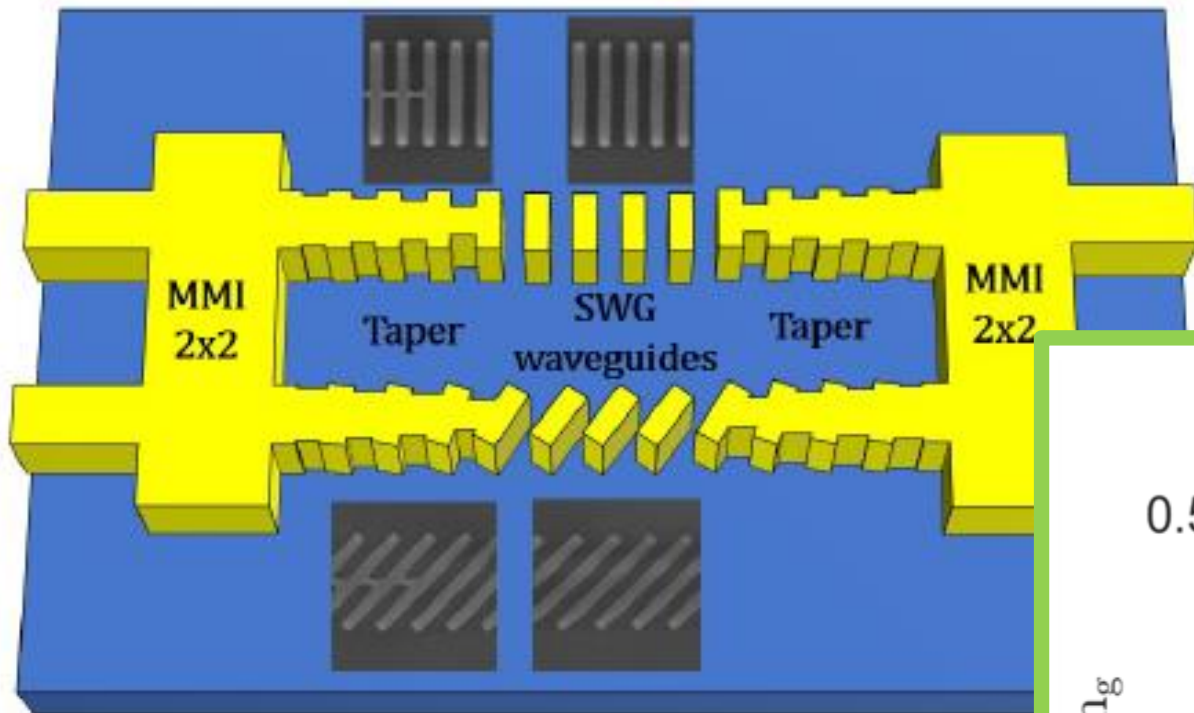
[Luque-González, Optics Letters 43, 2018](#)



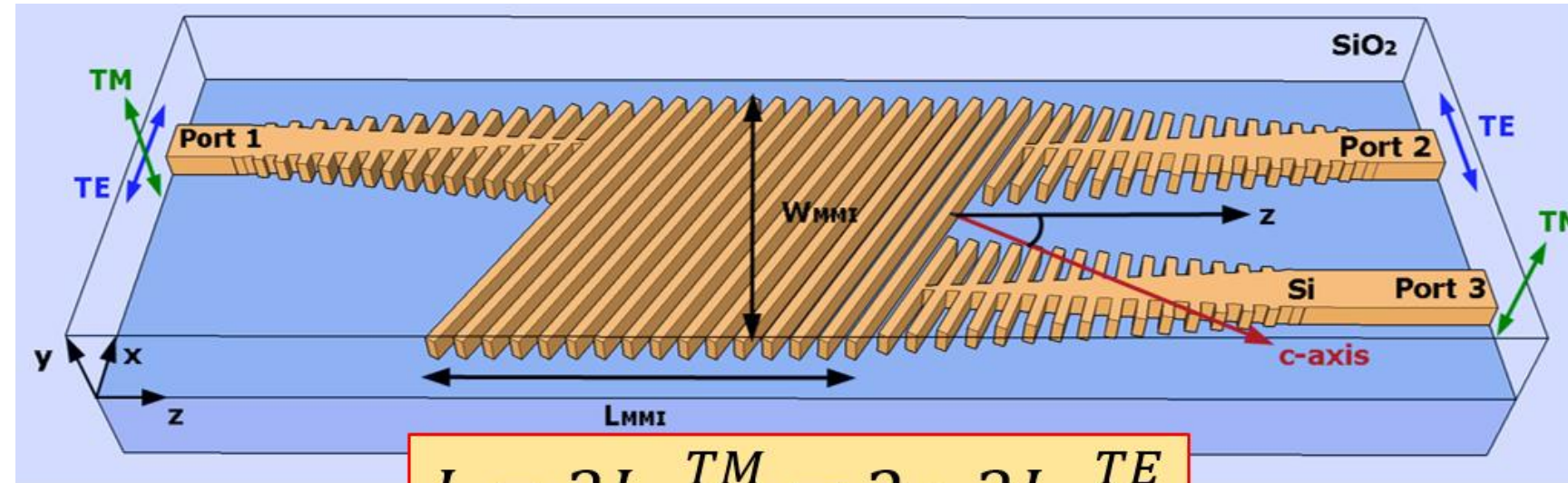


**Engineer TE effective index with constant feature size!  
TM unaffected!**

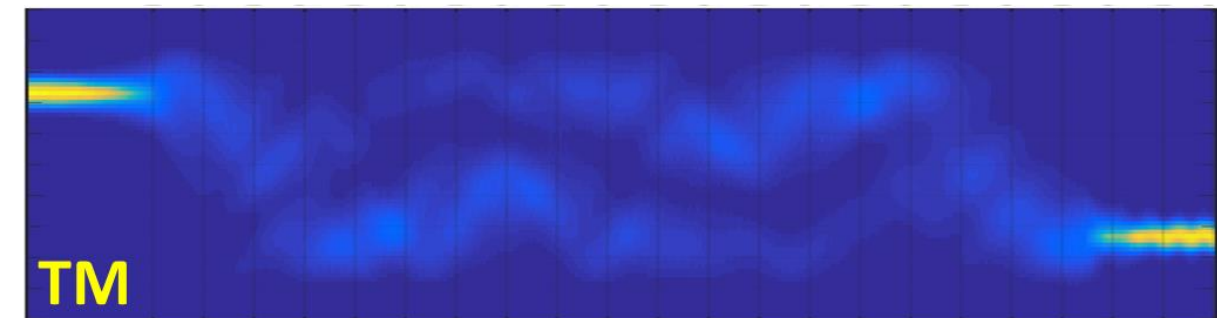
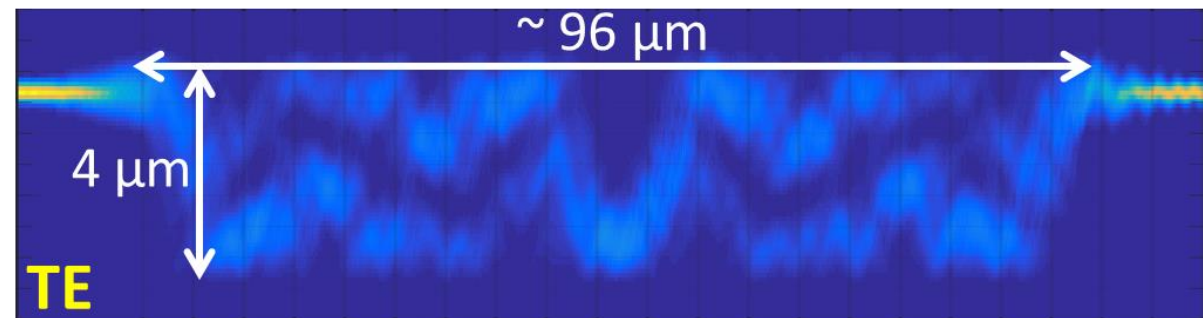
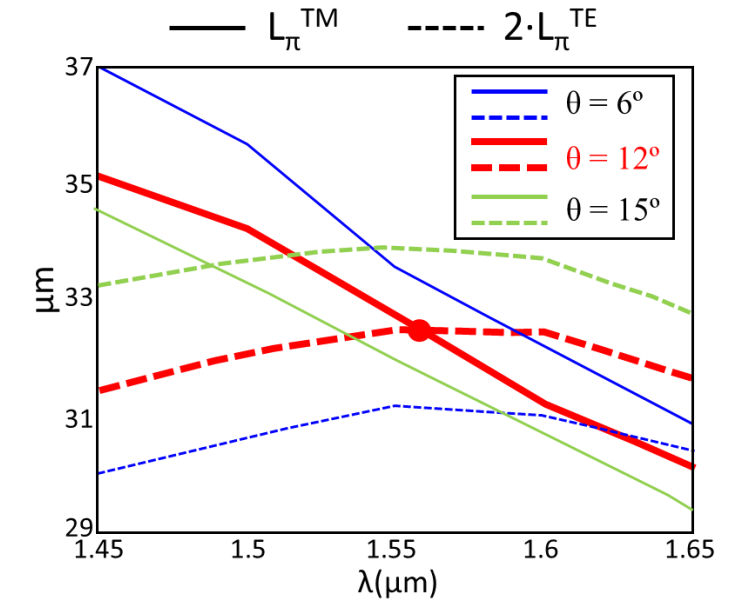
[Luque-González, Optics Letters 43, 2018](#)



[Luque-González, Optics Letters 43, 2018](#)



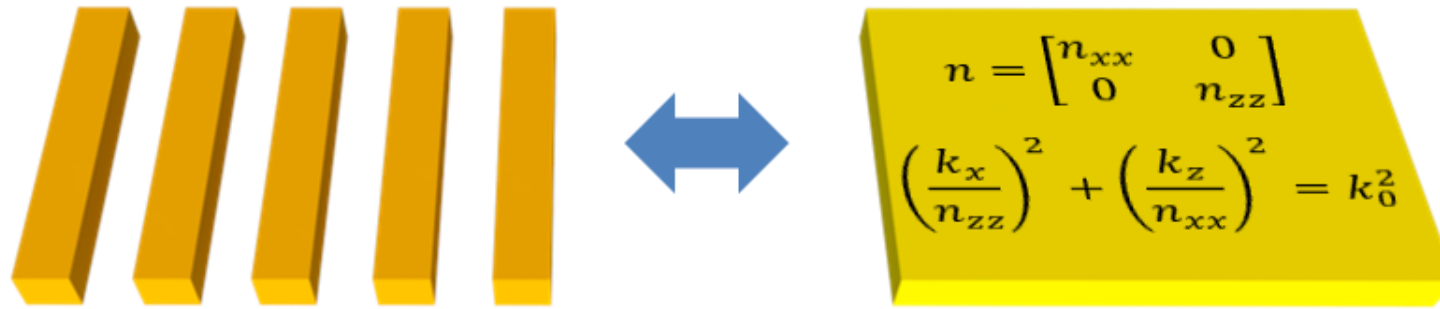
$$L = 3L_{\pi}^{TM} = 2 \cdot 3L_{\pi}^{TE}$$



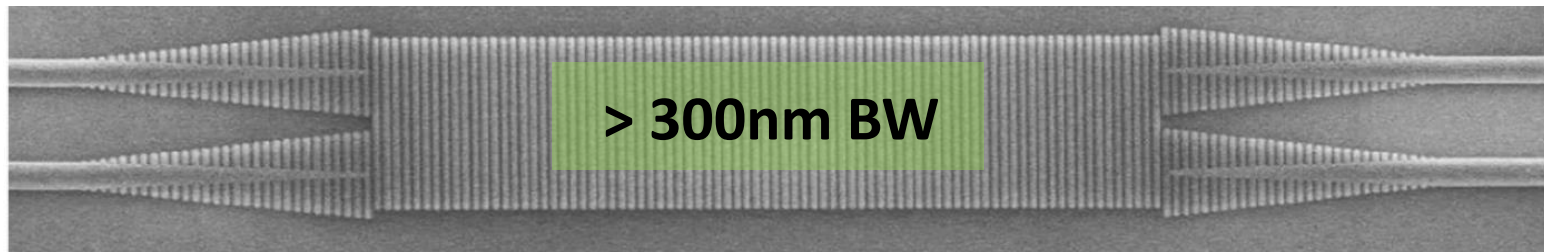
**Extinction ratio > 20dB    Insertion Losses < 1.5dB    120nm bandwidth    (3D FDTD)**

A. Herrero, Optics Letters, submitted





R. Halir, "Subwavelength-Grating Metamaterial Structures for Silicon Photonic Devices", Proceedings of the IEEE, in press



TEC2016-80718-R



FPU16/06762

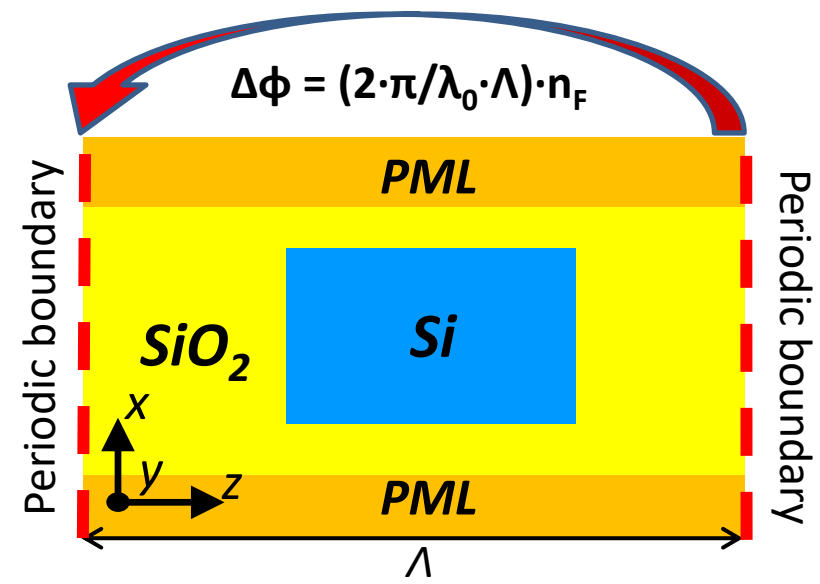
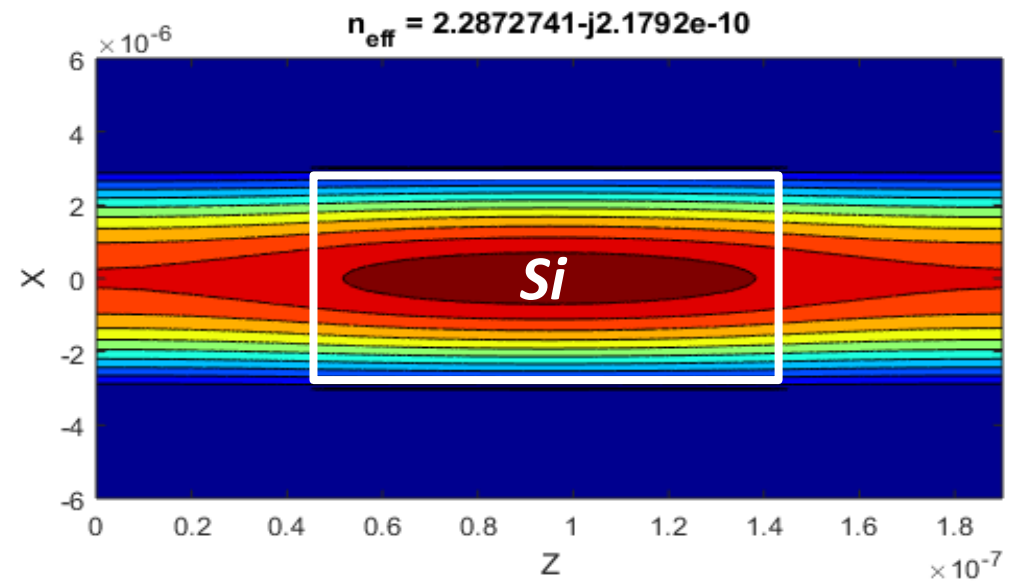
# QUESTIONS?

# photonics-rf.uma.es



# Simulation – Floquet modes and 3D FDTD

$$E(x, y, z + \Lambda) = E(x, y, z) e^{j(2 \cdot \pi / \lambda_0 \cdot \Lambda) \cdot n_F}$$



Project  
Path: No project loaded  
New project Load project Edit Run View results

Script  
 Plot Structure  
 Mode Field  
 Propagation  
 Monitors  
 S-Parameters

Script Options  
 Grid   
 Represent Index

Open FEXEN Config. File

Photonics & RF Research Lab

**FEXEN (2D EIM)**

Ready

